



Office of Science

Stem Simulator (FATES) tuta

Charlie Koven¹, Rvan Knox¹, Jackie Shaman², Rosie Fisher²

National Center for Atmospheric Research, Boulder, Colorado

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¹Lawrence Berkeley National Lab, Berkeley California Terrestrial Sciences Section, NCAR, Boulder, Colorado

Agenda

Morning Lectures (The Main Seminar Room) 8:30: Introductions.

8:45: Theory of FATES introduction lectures

Introduction to Ecosystem Demography FATES in Earth System Models. Information flow in FATES Fast timescale processes Carbon Allocation Demographic processes Recruitment, mortality, fire

9:45 - 10:15: Coffee break

Patch and Cohort Dynamics Different modes for running FATES Plant Functional Types Example PFT experiments FATES-HYDRO Future Plans (nutrients, land use, etc.)

12.00: Lunch (NCAR cafeteria, on your own)

Afternoon Practical Sessions

(Main Seminar Room for lecture) 1:15: Running FATES presentation

(Library for practical) 2:00: Running FATES practical session

3:00 Tea break (Chapman room)

4:45: General Discussion/Q&A session

5:15: Bus pickup

Slides here:

https://docs.google.com/presentation/d/1kztSENcOOw54XpjDCebcO LWciC8kqJegkMJGnuQKisl/edit?ts=5c48ed2a#slide=id.g309b6d965 9_0_47

Two Useful Resources:

FATES Github PAGE: https://github.com/NGEET/fates

FATES Technical Documentation: <u>https://fates-</u> <u>docs.readthedocs.io/en/latest/index.html</u>



FATES is a **cohort-based** vegetation demographics model

What does that mean?

BASIC ECOLOGICAL SUCCESSION



'GAP' MODELS

(e.g. SORTIE, LPJ-GUESS, SEIB, aDGVM, FORMIND)

PROS

- Individual Based
- 3D light environment
- Simulate competition recruitment & disturbance



CONS

- Stochasticity
- Computational cost
- long timesteps, low sampling
- Inappropriate for climate simulations?

AREA-BASED MODELS

(e.g. CLM, TRIFFID, LPJ, IBIS - models used in IPCC assessments))



• Efficient

PROS

• Default in ESMs



CONS

- One average tree per plant type.
- No height structure
- No light competition

'Cohort-based' Models as intermediate solutions



Ecosystem Demography Model (ED) Moorcroft, Hurtt and Pacala. 2001



- 'Cohorts' of trees, grouped according to:
 - Plant type
 - Height
 - Successional stage

Vegetation structure: CLM/ELM vs ED models



Time-Since-Disturbance tiling



Vegetation structure in ED models

Each time-since-disturbance tile contains cohorts of plants, defined by PFT and size.

Time-Since-Disturbance tiling

Time-Since-Disturbance tiling



BENEFITS OF ECOSYSTEM DEMOGRAPHY



"Big-Leaf" vegetation

Demographic Vegetation

Heterogeneity in light availability

Competition (for light), exclusion & coexistence

Mechanistic Ecosystem Assembly

Recovery after Disturbance (fire, land use, mortality)

Arbitrary PFT definition

PFT distribution emerges from trait filtering

Instances where big-leaf models hinder realistic process representation



Hydrodynamics

- Need a representation of path length, rooting depth, with plant size
- Need representations of canopy position to determine atmospheric demand

Nutrients

- N fixation only makes energetic sense early in succession
- Allometric growth is necessary to provide sensible nutrient budgets

Fire

- Fire has lasting impacts on canopy structure, which in turn affect fire behavior
- Tree-grass coexistence in fire regions is either along successional or vertical gradients, not captured by big leaf approach.
- Snow
 - Snow covers short vegetation early in succession but not older taller vegetation

Instances where big-leaf models hinder realistic process representation



Pests

Bark beetles preferentially attack larger trees

• Harvest

- Selective logging only takes out large trees of particular functional classes.
- Recovery alters biophysical properties
- Canopy turbulence
 - Simulation of internal canopy air space requires estimate of which leaves are where in canopy
- VOCs
 - Most major models critically dependent upon leaf age
- What about my favourite process? Is it affected (discussion..)



Where does FATES live within the ecosystem of earth system models?

FATES is a module and so must be associated with a "Host Land Model" (HLM)







How is information organized in FATES



CLM and ELM: Normal Subgrid Hierarchy



The Structure of FATES: Linked Lists



LIST OF EXAMPLE STATE VARIABLES AT EACH LEVEL

Cohort variables

PFT, 'N', DBH, height, biomass: leaves, roots(c+f), stem(live+dead), storage, canopy layer.

Patch variables

Area, age, CWD(size class (x4), leaf+froot litter, LAI profile, canopy height.

Site variables

Lat, long, seed bank(pft), phenology status & counters, all HLM column properties



FATES and HLM: Connecting the Hierarchies



Fast timescale process in FATES

- 1. Radiation Transfer
- 2. Photosynthesis and Respiration
- 3. Stomatal Conductance
- 4. Boundary layer physics

An overview processes in FATES



Perfect plasticity approximation

Canopy organization in fates.

The 'Perfect Plasticity Approximation' (PPA)

- Tree canopies are 'perfectly plastic' and fill in all the gaps.
- The forest canopy splits into distinct layers.

Canopy Layer : All plants receive 100% of incoming radiation on top leaf surface

Under-story Layer : All plants receive the same reduced incoming radiation light





Canopy construction and vertical light environment:

The "PPA" simplifies the light environment into two regimes: canopy and understory



Fisher et al., GCB 2017



Photosynthesis

Photosynthesis, stomatal conductance etc. is mostly derived from ~CLM4.5

This, and much of the rest of this lecture, is documented the **FATES technical documentation**:

https://fates-docs.readthedocs.io/en/latest/index.html

The photosynthesis part is at:

https://fates-docs.readthedocs.io/en/latest/fates_tech_note.html#photosynthesis

NEW FEATURE 2019: LEAVES DISCRETIZED BY AGE!



Each PFT has a user defined parameters "vcmax_25_top" and "longevity", which have an age dimension now.

Leaf carbon (*Cleaf*) flows from newer to older bins (*a*) based on longevity:

Flux Cleaf(a \rightarrow a+1) = Cleaf(a) * ΔT / longevity(a)

HOW FATES PASSES INFO FROM FAST TO SLOW TIMESTEPS



'PARTEH'

Plant Allocation and Reactive Transport Extensible Hypotheses Knox et al. (in prep)

FATES' new **allocation** scheme, and basis for planned nutrient cycling implementation

extensible and modular software, using robust numerical methods
2) changes in states are cast as fluxes
3) allows an arbitrary number of elements or pools
4) Modular options for alternative hypotheses



Modular structure: PARTEH has a clean interface with the rest of the FATES code.

- Does not use FATES globals
- Clearly defined initialization of states and fluxes
- Clearly defined boundary conditions with FATES


Example of Single Tree Simulator: 20 year "smoke test": 3 different parameterizations, 1 carbon only case with seasonal oscillation, 1 C+N+P case with seasonal oscillation and 1 C+N+P case without oscillation







Default allocation/ hypothesis #1: Carbon Allocation along allometric trajectories





Step 1: Remove turnover from live pools

Assumption: "stature" (dbh) of plant stays same, and so do the target pool sizes



Step 2: Replenish Pools towards allometry

*Each organ is given a priority level.

Replenish pools in priority order based on availability and relative distance to target

*Same principal for C & N & P



Step 3: Stature Growth

Grow all pools concurrently.

Integrate along the derivative of the allometric curves for each carbon pool.

The amount of concurrent growth is limited by whichever C or N or P would generate the least amount of equivalent C growth

STATURE GROWTH FOLLOWS ALLOMETRY

Take home points:

- Allometry governs proportionality
- Allometry equations are either trivial or dependent on diameter
- Allometry of tissue pools describe the ideal or maximum carrying capacity for the stature
- Code allows for new functional forms to be added



STATURE GROWTH FOLLOWS ALLOMETRY

Height - Diameter Allometry



STATURE GROWTH FOLLOWS ALLOMETRY

AGB - Diameter Allometry



Phenology (Abridged Edition)

PHENOLOGY - VERY SIMILAR TO PHENOLOGY IN CLM

- Timing of cold deciduous leaf-on and leaf-off is governed by integrating growing degree days, and counting cold days (respectively) (Botta et al.)
- Timing of stress deciduous leaf-on and leaf-off is governed by mean soil moisture and thresholds
- On/Off status is a site (column) scale variable, not a plant scale variable (but it should be...?)
- A plant must be one of evergreen, stress deciduous or cold deciduous
- Leaf-on and leaf-off status has minimum window requirements to prevent flickering
- Triggering "leaf-on" will flush a fraction of the plants carrying capacity
- Triggering "leaf-off" will drop all leaves instantaneously

Patch-scale Demographic processes

Recruitment, Mortality, Fire

1. Reproduction & Recruitment

- Seed flux is in mass units as a fraction of NPP
- Seeds from all patches mixed at site level => perfectly efficient dispersal within sites
- Population of recruits is function of carbon flux out of seed pool and recruit size



Plant Mortality



Plant Mortality

1. Background mortality
bmort =bmort(pft)

l. Carbon starvation mortality
frac = bstore/b_leaf
cmort =ED_val_stress_mort*(1.0_r8 - frac)

1. Cold-stress mortality
temp_dep = max(0.0,min(1.0,1.0 - (tempfreezetol(pft))/frost_mort_buffer))

frmort = frost_mort_scaler * temp_dep



Plant Mortality

n.b. In principle 'background' is all the as-yet unexplained mortality

As well add more mechanisms of mortality, 'background' should decline

e.g. windthrow insects/fungi phloem failure heat stress



FIRE

FATES-SPITFIRE



Adapted from Thonicke *et al.* 2010 *Biogeosciences*

LITTER and FIRE

FATES fuel moisture changes with climate





FATES tracks six fuel classes

Trunks Large branches Small branches Twigs Leaves Live grass These gradually 'fragment' into soil organic matter pools, and are passed into the host land model decomposition routines.

Cellulose → Lignin Labile

FATES-HLM Transfer of Litter

Flammable CWD and litter held on FATES patches

Mechanically breaks down to decomposable litter and passed to HLM for decomposition routines

Vertical profiles of belowground litter outputs defined by root profiles



VEGETATION and FIRE

Mortality for trees depends on: Flame height (relative to canopy height) Bark thickness (varies by age and PFT) Fire intensity and residence time

Grasses are not protected, and burn with fire



FATES retains the fire-affected canopy structure, e.g. altering future fire behavior

Impact of initial conditions

Burned fraction (% year-1)



Challenge in Forest/Savanna areas:

- Climate
- Seasonality (# dry months)
- Vegetation state/ Species Traits

FATES patch and cohort dynamics

The life of a cohort



FATES Cohort organization within the Patch

- Cohort organization by PPA-based rank organization
- As cohorts grow their crown areas expand via allometry, overfilling canopy. This leads to a constant demotion of cohorts into the understory
- Competitive exclusion parameter allows changes to efficiency of sorting from deterministic PPA to a degree of stochasticity

Deterministic PPA Sorting



FATES Cohort organization within the Patch

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The life of a patch

- 1. Patches made from disturbance
- 2. Fused to similar patches
- 3. Reduced by subsequent disturbances
- 4. Terminated when too small/old
- 5. Age

PATCH GENERATION AND FUSION

Disturbance occurs when canopy trees die.

Disturbance generates new zeroaged patches.

Patches fuse when they become sufficiently similar





Sensitivity to Patch heterogeneity



Accommodate all disturbance by rearranging within patch

Create smaller amount of unoccupied patch area

Resolve disturbance by creating new (occupied) patch area

Different 'modes' for running fates



Simplified FATES Versions: Separate Along



SIMPLIFIED FATES VERSIONS: SEPARATE ALONG TIMESCALE



Simplified FATES Modes: ST3 and PPM

Static Stand Structure (ST3) :

Holds the slow processes constant and calculates only biophysics (can be initialized from inventory data)

Prescribed Physiology Mode (PPM) :

Overwrites NPP, mortality, and (optionally) recruitment with **specified rates** (set in FATES parameter file).

Why would one want to use **ST3** Mode?

- Breaks feedback loop between ecosystem structure and function.
- Allows cleaner experimental design to look at changes to a given parameter or structure directly rather than the effects of those changes as propagated through ecosystem structure.
- If initialized from inventory, allows understanding of physiological rates conditional on the observed forest structure.
- Analogous to CLM's SP mode, except that, for now at least, there is no phenology. (Which should change.)

WHY WOULD ONE WANT TO USE PPM?

- Allows a direct assessment of how vital rates—which have much lower dimensionality than physiological traits—govern ecosystem structure.
- Allows testing of model structure and parameters that govern slow vegetation dynamical processes given a known set of vital rates.
- Possible to sample different / larger physiological rate parameter spaces than might be possible using full model.
- Separation of forced from internal modes of variability (which, in ecology language, means an ability to isolate things like a storage effect on coexistence)
- Ability to test generality of behavior by applying it in reducedcomplexity model.

FATES History File Structures
HOW FATES PASSES INFO TO HISTORY FILES:



Example of Patch Age Dynamics in a Tropical Forest Simulation









MORE COMPLEX, MULTI-DIMENSIONAL OUTPUT:

- Multiple dimensions available for output:
 - Cohort Size,
 - Patch Age,
 - \circ Cohort Canopy Position,
 - Leaf Layer,
 - Cohort PFT, &
 - time since start of run.
- E.g: number of plants as binned along axes of size and age:

Size/age distributions, long-term mean



Plant Functional types



Plant Functional Types in CLM/ALM

Typically, land surface model PFTs are defined by:

Phenology (evergreen, cold dec, stress dec)

Growth Form (tree, shrub, grass)

Leaf Habit (broadleaf, needleleaf)

Photosynthesis (C3, C4)

These are unambiguous traits, mostly identifiable from space

But they don't tell us much about ecosystem function or responses to change.

A note on climate envelopes

Paradigm: Vegetation climate limits are a function of simple climate variables, defined from current vegetation distributions

Climate envelope parameterization from Lund-Potsdam-Jena (LPJ) DGVM (vegetation cannot survive outside limits) Used in: ORCHIDEE (IPSL), CTEM (CanESM) SEIB (MIROC-ESM), CLM-DV (CESM)

Plant Functional Type	Temp coldest month (°C)	Temp hottest month (°C)	Growing Degree Days
Tropical broad-leaved evergreen	15.5	_	_
Tropical broad-leaved raingreen	15.5	_	_
Temperate needle-leaved evergreen	-2.0	22.0	900
Temperate broad-leaved evergreen	3.0	18.8	1200
Temperate broad-leaved summergreen	-17.0	15.5	1200
Boreal needle-leaved evergreen	-32.5	-2.0	600
Boreal needle-leaved summergreen	_	-2.0	350
Boreal broad-leaved summergreen	-	-2.0	350
Temperate herbaceous (TeH)	_	15.5	_
Tropical herbaceous (TrH)	15.5	-	-

PFTs in FATES

The idea of FATES is that PFT definitions are flexible.

Fundamentally, a plant functional type is a vector of plant traits.

In FATES, this vector can be configured however you want.

n.b. the EDv1 and EDv2 PFTS (early, mid-late successional tropical trees) are not the default in FATES.

As yet, none of these traits are climate envelopes... (tbc)

Representation of plant trait vector

specific leaf area		
leaf C:N		
wood density		
root:leaf ratio		
bark thickness		
root lifespan		
etc.		

PFTs in FATES -Special Case (vcmax and leaf lifespan)

VCMAX and leaf lifespan are dimensioned by PFT and "age bin".

User can specify any number of each

1 age bin is allowed.

Representation of plant trait vector

specific leaf area		
leaf C:N		
wood density		
root:leaf ratio		
bark thickness		
root lifespan		
Leaf lifespan		

FATES parameters in CLM/ALM

FATES has 187 parameters, but you have options.

Hydro (18), Fire (23), Nitrogen/Phos (6), Special Modes (14), Obvious/Developer (23), Special Modes (i.e. logging, prescribed physiology, etc.) (14)

Allometry (leaf, height, aboveground biomass, sapwood, root) (27)

Now you only have **66** other parameters to calibrate

For regional/site calibration **start here:**

*Allometric relationships (DBH to H, DBH to biomass, DBH to crown area) *Wood Density

Vcmax

Specific Leaf Area

Leaf C:N ratio

Leaf Longevity

Example plant functional types experiments in fates



Example single trait competition experiments in FATES



Allocation to storage



Growing, rather than storing, is a good idea whereever there is closed canopy forest.

LeafCN & Vc,max



High leaf N is beneficial in high resource environments. In dry environments it is sub-optimal

Climate envelopes

-Selection is typically not only along temperature or precip gradients.

-Most trait filtering is related to light competition intensity

-Are we missing processes/traits that allow filtering by temperature, or drought?



What happens if we put the CLM parameters into FATES?

Global PFT distribution status (jennifer Holm)

- Global simulations of FATES
- One approach to FATES globalization (other simpler representations are possible and planned)
- Coupled to E3SM Land Model (ELM)
- 13 PFTs:
 - Default FATES specific parameters
 - Non-FATES parameters based on CLM4.5 values
- Goal with global simulations:
 - Latitudinal gradient of plant distribution based on emergent dynamic vegetation processes
 - With FATES, no climate envelopes boundaries (i.e. no pre-defined climate tolerances for recruitment and survival).
 - BUT some climate tolerances are real (i.e. freezing tolerances)



4x5 degree resolution simulations FATES has reasonable biomass, etc.

MODIS PFT distribution



ELM-FATES





BRAD CHRISTOFFERSEN, CHONGGANG XU, NATE MCDOWELL & THE NGEE-TROPICS MODELING TEAM





FATES-HYDRO



FATES-HYDRO



FATES-HYDRO: key hydraulic parameters

Parameter	Symbol	Units		
Pressure-Volume (PV) curve (water content – water pote	ential relationsh	ip)		
saturated water content	θ_s	cm ³ cm ⁻³		
turgor loss point	π_{tlp}	MPa		
bulk elastic modulus	ε	MPa		
residual fraction	RWC _r	unitless		
fraction of water in capillary reserve	f_{cap}	unitless		
Xylem Vulnerability Curve (water potential – hydraulic cond	ductivity relation	nship)		
xylem water potential at 50% loss of max conductivity	$P_{50,x}$	MPa		
xylem vulnerability curve shape parameter	a_x	unitless		
maximum xylem conductivity per unit sapwood area	k _{s,max}		Plant Hydraulic Arch	itecture
Stomatal Vulnerability Curve (new Btran form	ulation)	Xylem taper exponent		
leaf water potential at 50% loss of max gs	$P_{50,gs}$	Leaf to sapwood area ratio		
stomatal vulnerability shape parameter	a _{gs}	Root/shoot Architecture		ecture
		specific root length (conver	ts biomass to root length)	
		absorbing root radius (sets	length scale for soil-root water flux)	
		Leaf mass per unit area		
		root tissue density (controls	s root PV parameters)	
		Fine root to leaf ratio		
		fraction of total tree resista	nce that is aboveground	

Example FATES-HYDRO output



Future development plans

- 1. Nutrients
- 2. Land use
- 3. Static vegetation mode

Simple Allocative Case for nutrients within PARTEH: Instantaneous Allocation of NPP Reaction Costs paid by the NPP pool Single Pools for each tissue type C = single carbon pool $N_k = k$ -th nutrient pool Litter Litter Litter Release Storage (C, N_(k=1)...,N_(k=K)) Leaf Sapwood Fine Root Structural Reproductive $(C, N_{(k=1)}, ..., N_{(k=K)})$ NPP (C) Soil (N_(k=K)) Soil (N_(k=1))

FATES-fvd (fixed vegetation distribution). Tbd.



We need a mode to turn off the DGVM capability

- Read in a PFT map from the surface dataset
- 2. Discover which PFTs are 'allowed' in each grid cell
- Only allow seeding/recruitment with those PFTs

Could we mask parts of the globe and test dynamics in certain regions??

Some Shorter - and Longer-term Development Plans 1: Land-USE



Future FATES

Open Code Development

FATES is at https://github.com/NGEET/FATES

- + More eyes on code better
- + Better coordination of development/overlaps
- + Forum for collaboration: questions can be directed to whole community

This requires

- + Solid funding for maintenance of system (add software support to your proposals!)
- + Community ethical guidelines:



Ongoing and planned FATES projects (non-exhaustive!)

- NGEE-tropics (DoE/LBL -led tropics-focused project. Phase II proposal ongoing)
 - Nutrient cycling, allocation
 - Fire, Gas Exchange, ysiology testbeds
 - Tropical forest testbeds
 - Coexistence & trait filtering
 - FATES-Hydro testing & calibration
 - Tropical phenology
 - Radiation transfer
 - E3SM (DoE ESM)
 - Land-use implementation (LUH2)
 - Global PFT calibrations
- California/LBL proposals
 - Parameters for Western US forests
 - Wildfire simulation & benchmarking
 - FATES x Hillslope model
 - Regeneration parameterization
- Emerald (NorESM/University of Oslo-boreal focused project)
 - High latitude PFTs & processes
 - o Moss PFTs
-) LANL
 - Insect dynamics, wetlands, fire-atmosphere interactions



Biweekly FATES teleconferences

starting soon

- +Thurs, 11am Pacific; 12am MDT, 8pm CET:
- +Sign up for alerts at:

fates_model@googlegroups.com