

Ocean Modeling I

Ocean Modeling Basics and CESM Ocean Models

Gustavo Marques

(gmarques@ucar.edu)

Oceanography Section

Climate and Global Dynamics Laboratory
National Center for Atmospheric Research



August 10th, 2022



1) General ocean modelling considerations

- Challenges for ocean modeling
- Ocean properties
- Governing equations
- Boundary conditions
- Horizontal/vertical discretization
- Coupling with other components

2) Parallel Ocean Program version 2 (POP2)

3) Modular Ocean Model version 6 (MOM6)

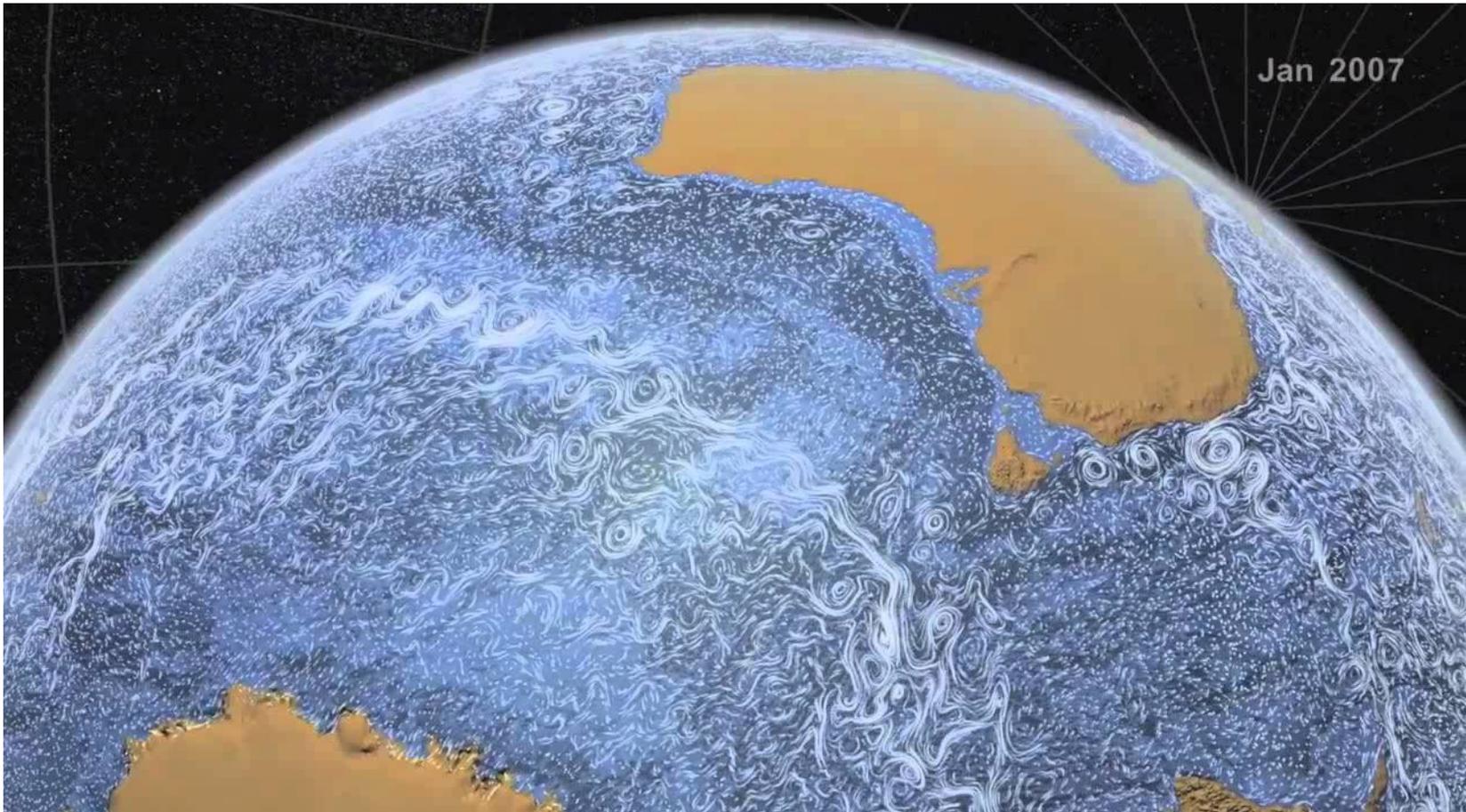
4) Helpful resources



Ocean Modeling Challenges: irregular domain

1st order challenges from a numerical perspective:

- Highly irregular domain; land boundary exerts strong control on ocean dynamics.



Perpetual Ocean; Credit: MIT/NASA-JPL ECCO2

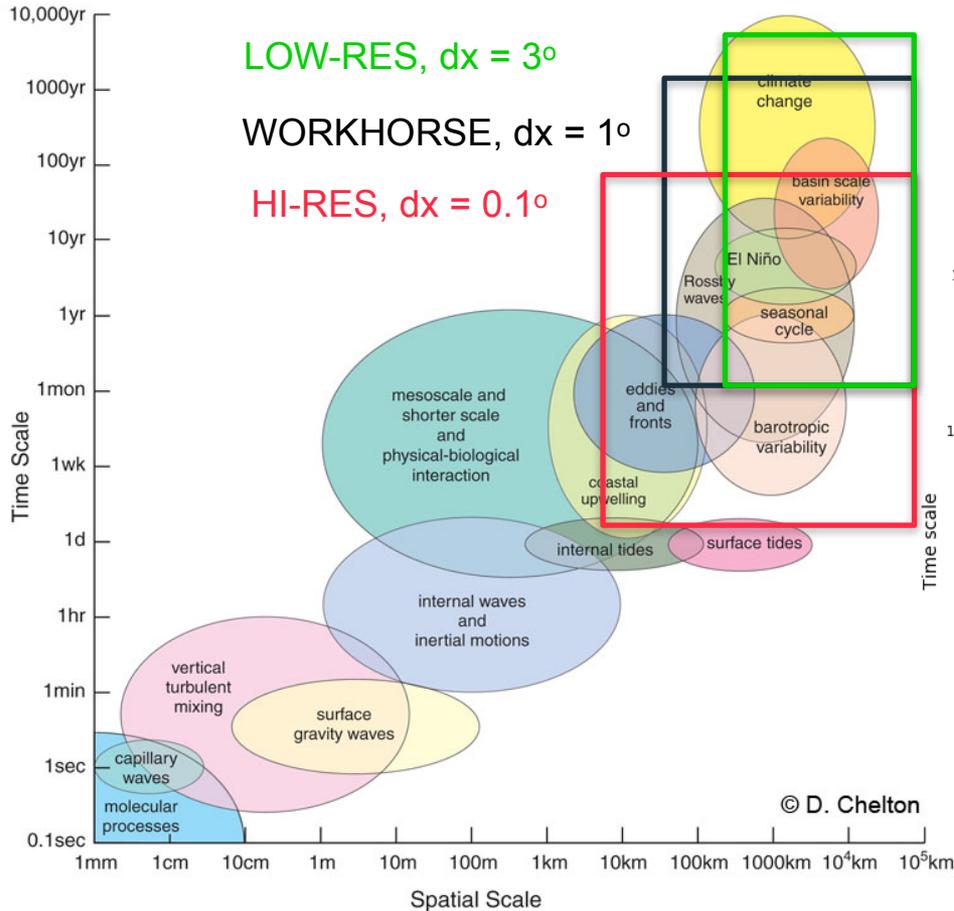
Ocean Modeling Challenges: Spatial vs. Temporal Scales

Ocean

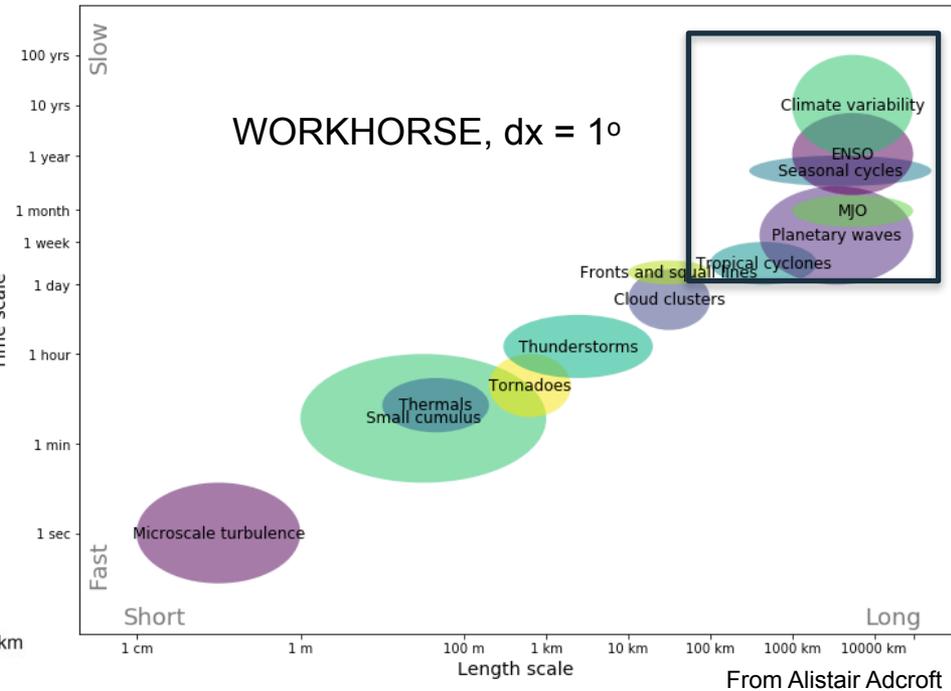
LOW-RES, $dx = 3^\circ$

WORKHORSE, $dx = 1^\circ$

HI-RES, $dx = 0.1^\circ$



Atmosphere

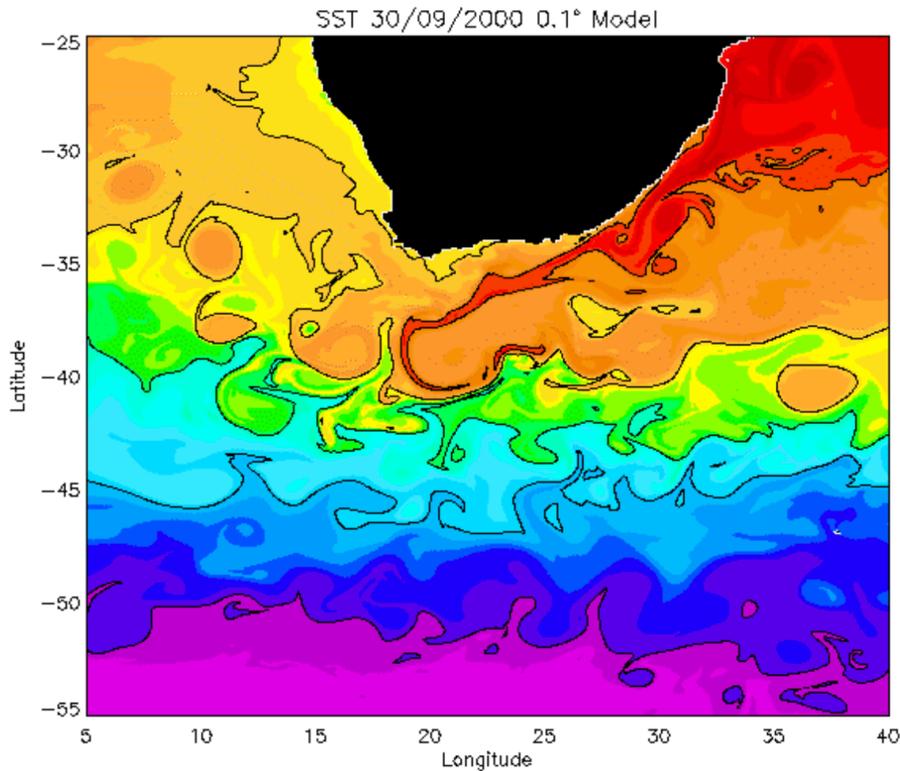


- Ocean models simulate the climate

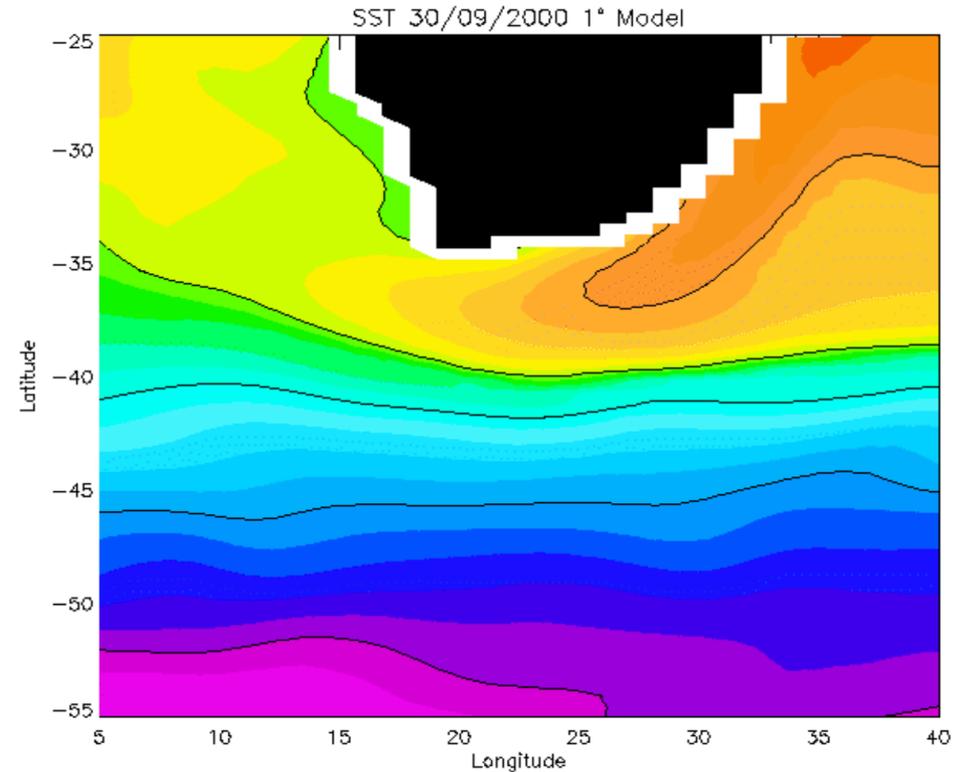
- ATM. models simulate the weather

Ocean Modeling Challenges: Spatial Scales

$\Delta x = 0.1$ degree



$\Delta x = 1.0$ degree

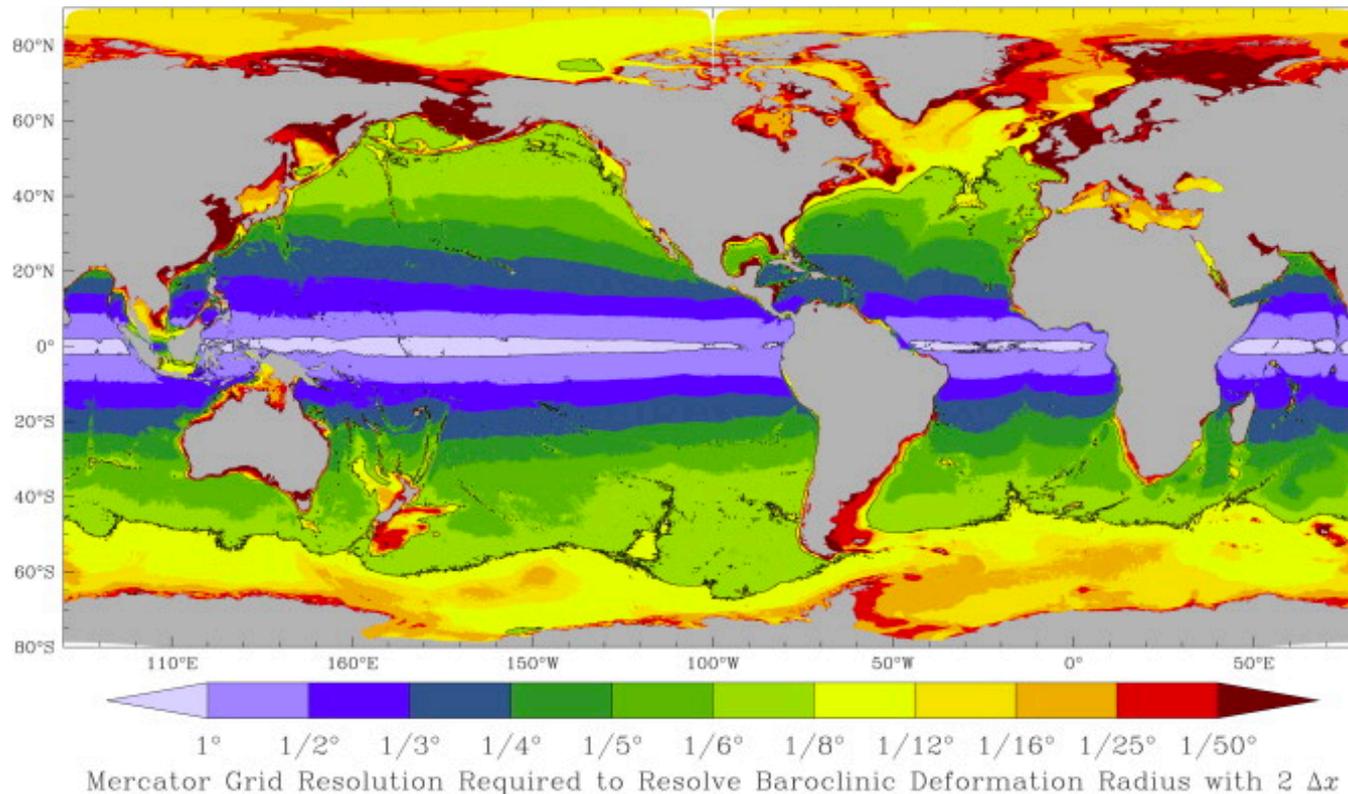


- Mixing associated with sub-grid scale turbulence must be parameterized.

Ocean Modeling Challenges: Eddy-Resolving Scales

- The density change from top to bottom is much smaller than the atmosphere. This makes the Rossby radius (R_d) much smaller – 100s to 10s km;

$$R_d = \frac{NH}{\pi f}$$



From Hallberg (2013)

Ocean Modeling Challenges: Equilibration Timescale

- Extremely small mixing across density surfaces once water masses are buried below the mixed layer base. This is why water masses can be named and followed around the ocean;
- **Scaling argument for deep adjustment time:**

$$H^2/K_v = (4000 \text{ m})^2 / (2 \times 10^{-5} \text{ m}^2/\text{s}) = 20,000 \text{ years}$$

- **Dynamical adjustment timescale:**

Phase speed of non-dispersive long Rossby waves, $C_R = -\beta R_d^2$

Approximate time taken to cross the Pacific Ocean at mid-latitudes:

$$L/C_R = (15 \times 10^3 \text{ km}) / (20 \text{ km/day}) = 750 \text{ days} \sim 2 \text{ years.}$$

Bottom line for climate studies

- Performing long (climate scale) simulations at eddy-resolving/permitting resolution are not practical;
- Spurious mixing in the interior can significantly degrade the solution;
- Must live with deep ocean not being at equilibrium in most simulations;
- The heat capacity of the ocean is much larger than the atmosphere. This makes it an important heat reservoir;
- The ocean contains the memory of the climate system → important implications for decadal prediction studies.

The equations solved by the ocean models

7 equations and 7 unknowns:

- 3 velocity components;
 - Potential temperature;
 - Salinity;
 - Density;
 - Pressure.
- Plus: 1 equation for each passive tracer, e.g. CFCs, Ideal Age.

Approximations:

- **Thin-shell** → the ocean depth is neglected compared to the earth's radius - only retains the effect of Earth's curvature on the meridional variations of the Coriolis parameter;
- **Boussinesq** → $\rho = \rho_0 + \rho'$, $\rho' \ll \rho_0$; density variation is only important in the hydrostatic equation;
- **Continuity (incompressible form)** → can't deform seawater, so what flows into a control volume must flow out;
- **Hydrostatic** → when ocean becomes statically unstable ($dp > 0$) vertical overturning should occur, but cannot because vertical tendency has been excluded. This mixing is accomplished (i.e., parameterized) by a very large coefficient of vertical diffusion.

Boussinesq hydrostatic eqs. in height coordinates

Horizontal momentum:

$$D_t \mathbf{u} + f \hat{\mathbf{k}} \wedge \mathbf{u} + \frac{1}{\rho_0} \nabla_z p = K_H \nabla_z^2 \mathbf{u} + \partial_z (K_V \partial_z \mathbf{u}) \quad (1)$$

Vertical momentum (hydrostatic equation):

$$\partial_z p = -g\rho \quad (2)$$

Mass conservation / continuity equation:

$$\frac{\partial \rho}{\partial t} + \frac{\partial}{\partial x}(\rho u) + \frac{\partial}{\partial x}(\rho v) \frac{\partial}{\partial x}(\rho w) = 0 \quad (3a)$$

$$\nabla_z \cdot \mathbf{u} + \partial_z w = 0, \quad |\rho'| \ll \rho_0 \quad (3b)$$

Boussinesq hydrostatic eqs. in height coordinates (cont.)

Potential temperature transport:

$$\partial_t \theta + \nabla_z \cdot (\mathbf{u}\theta) + \partial_z(w\theta) = \nabla \cdot \overline{\overline{A}} \nabla \theta \quad (4)$$

Salinity transport:

$$\partial_t S + \nabla_z \cdot (\mathbf{u}S) + \partial_z(wS) = \nabla \cdot \overline{\overline{A}} \nabla S \quad (5)$$

Equation of state (nonlinear):

$$\rho = \rho(S, \theta, p(z)) \quad (6)$$

Boundary conditions

Ocean surface:

- Flux exchanges at surface (momentum and tracers);
- In POP, no flux of fresh water, get equivalent of salt via virtual salt flux;

Ocean bottom:

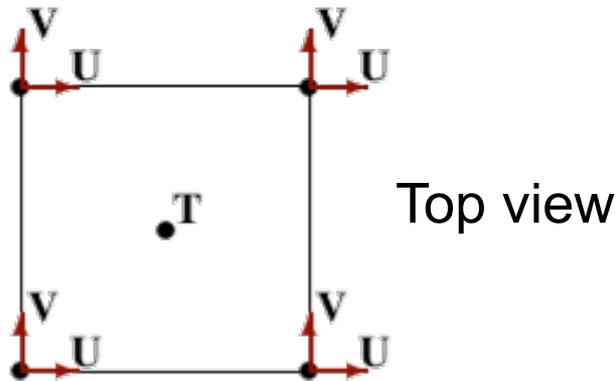
- No tracer fluxes (option to include geothermal heating in MOM6);
- Normal velocity is zero;
- Quadratic bottom drag (bottom boundary condition on viscosity term).

Lateral boundaries:

- No tracer fluxes;
- Flow normal to solid boundary is zero;
- No slip on lateral boundaries.

Horizontal grid staggering: Arakawa B grid

Arakawa B grid

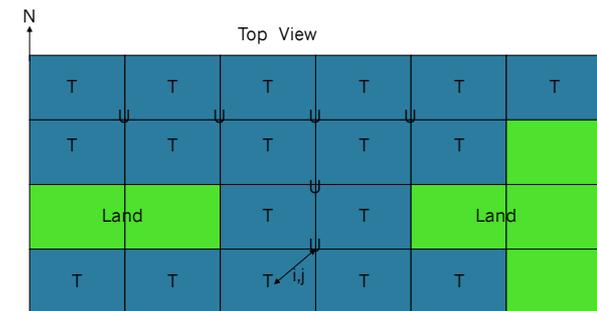


Advantages:

- Naturally fits no-slip boundary condition;
- Better dispersion for Rossby waves at very coarse resolution than C-grid;
- Smaller truncation errors in the computation of the Coriolis terms;

Disadvantages:

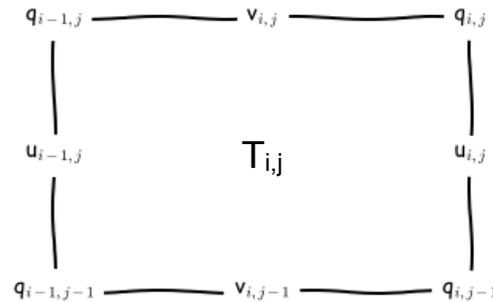
- Cannot represent single-point channels
- Larger truncation errors in the pressure gradient terms;



This is the staggering used in POP2

Horizontal grid staggering: Arakawa C grid

Arakawa C grid



Top view

Advantages:

- Allows single-point channels

Disadvantages:

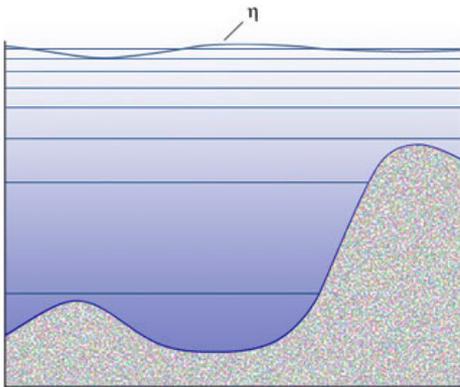
- The Coriolis acceleration terms requires horizontal averaging, making the inertia gravity waves (related with Coriolis force) less accurate;
- Poorer dispersion for Rossby waves at very coarse resolution than B-grid;

This is the staggering used in MOM6

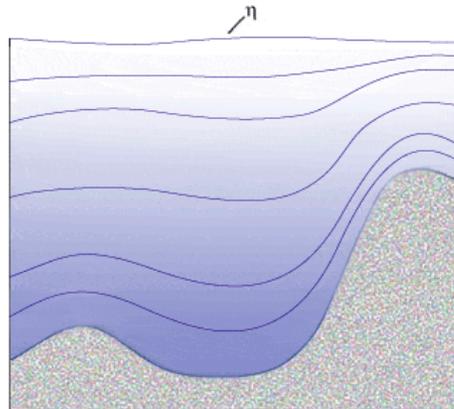
Vertical coordinate system in ocean models

The choice of a vertical coordinate system is **one of the most important** aspects of a model's design. There are 3 main vertical coordinate systems in use:

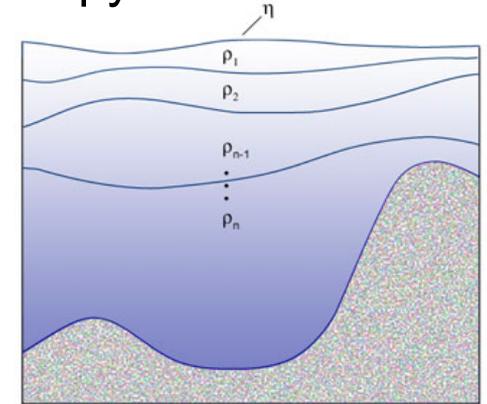
z-coordinates



σ -coordinates



isopycnal-coordinates



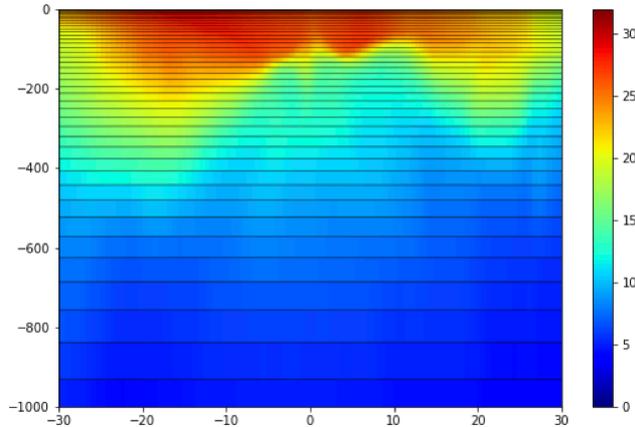
From: https://www.oc.nps.edu/nom/modeling/vertical_grids.html

- Each one has its advantages and disadvantages, which has led to the development of **hybrid** coordinate systems;
- This is an area of very active research and development in numerical ocean models.

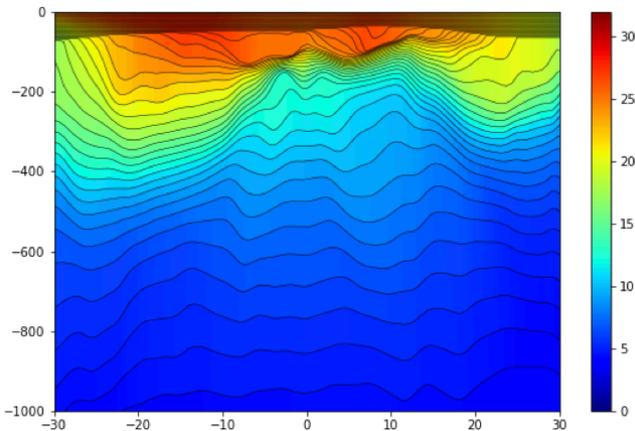
Vertical grids used in CESM

MOM6 vertical grids

z^* -coordinates, 65 levels

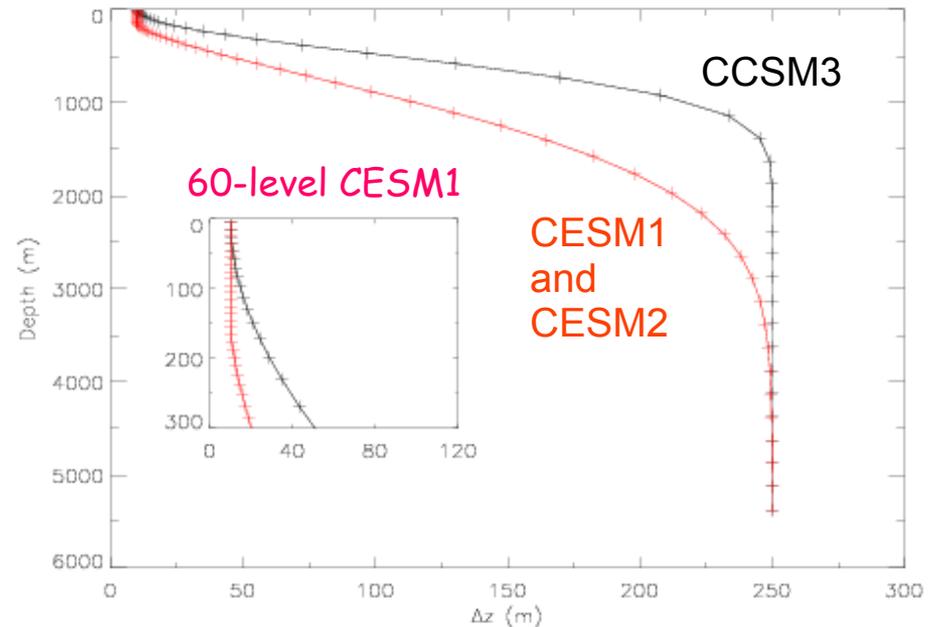


Hybrid (z^*/ρ), 75 levels



POP2 vertical grids

z -coordinates



Surface forcing options for ocean simulations with CESM

- Fully coupled mode (B compset);
- Forced ocean (C compset) or ocean – sea-ice coupled (G compset);

Coordinated Ocean-ice Reference Experiments (CORE)

- Inter-annual forcing (IAF; 1948-2009), <http://data1.gfdl.noaa.gov/nomads/forms/mom4/CORE.html>;
- Normal Year Forcing (NYF): synthetic year that repeats exactly; good for model testing and parameterization impact studies.

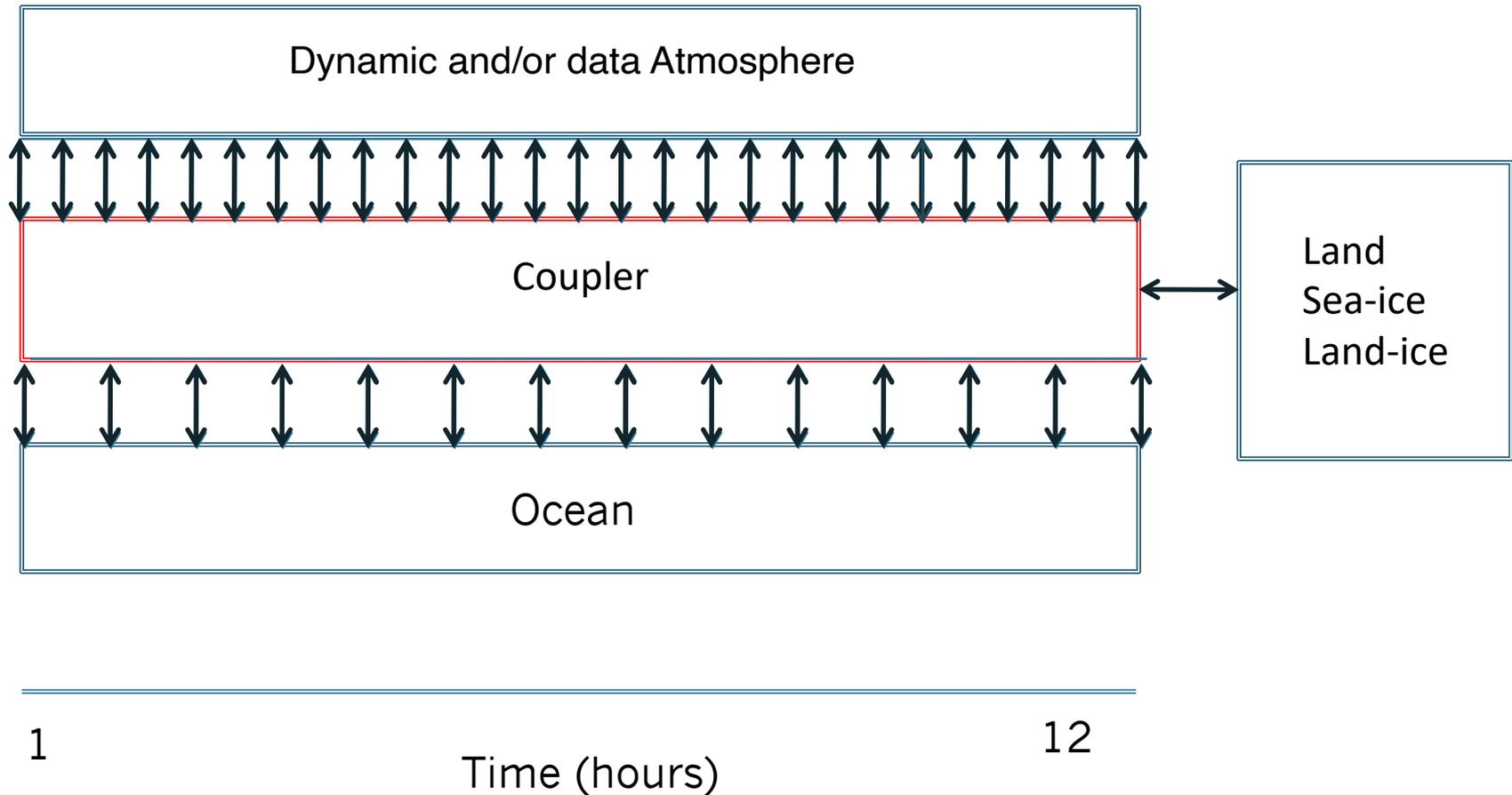
Large and Yeager, NCAR Technical Note (2004)

Large and Yeager, Climate Dynamics (2009)

Danabasoglu et al., Ocean Modelling (2016)

- JRA-55-DO (JRA; 1958 to 2018), https://jra.kishou.go.jp/JRA-55/index_en.html, Tsujino et al., Ocean Modelling (2018)

Coupling the ocean model with other components in CESM



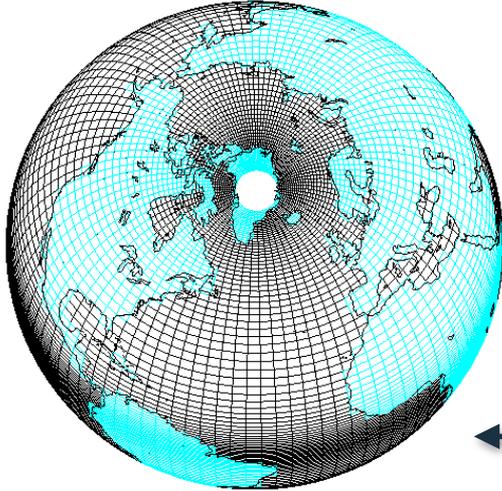
The coupling architecture allows the ocean coupling time step be independent of the coupling time step of the other components.

The Parallel Ocean Program version 2 (POP2) dynamical core

- POP2 is a level- (z-) coordinate model developed at the Los Alamos National Laboratory (Smith et al. 2010);
- 3-D primitive equations, general orthogonal coordinates in the horizontal, solved with the **hydrostatic** and **Boussinesq** approximations;
- A linearized, implicit free-surface formulation is used for the barotropic equation for surface pressure (surface height);
- The global integral of the ocean volume remains constant because the freshwater fluxes are treated as **virtual salt fluxes**, using a constant reference salinity.

POP2: horizontal grids

Displaced pole → Removes singularity from the North Pole



- gx1: climate workhorse (nominal 1°)
- gx3: testing/paleo (nominal 3°)

← Equatorial refinement (0.3° / 0.9°)

Tripole



- tx0.1 (nominal 0.1°), eddy resolving almost everywhere;
- See Murray (1996) for details on the various types of grids.

The Modular Ocean Model version 6 (MOM6) dynamical core

- **Finite volume solver**

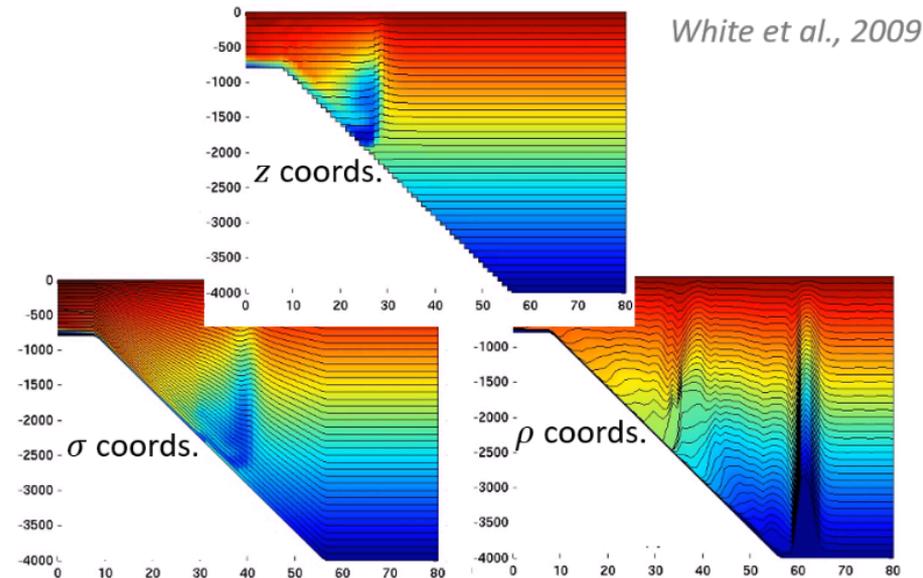
- Hydrostatic Boussinesq or non-Boussinesq equations



Non-Boussinesq models contain all effects within the ocean acting on the sea level

- **Arbitrary-Lagrangian-Eulerian**

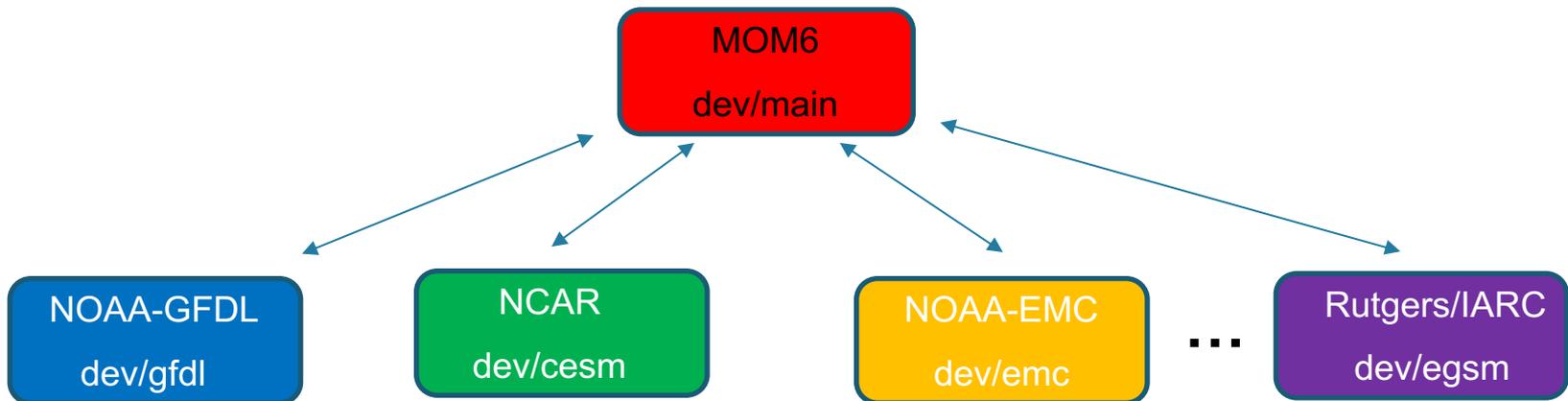
- General coordinate
- No vertical CFL limit → ultra-fine vertical resolution
- Sub-cycled gravity waves
- Built-in wetting and drying



Credit: Alistair Adcroft

MOM6 Collaborative Development Arrangement

- Tremendous support from GFDL;
- Current governance mechanism: quasi-weekly developer calls (*GFDL/NOAA, NCAR, EMC/NOAA, FSU/USN, Rutgers, IARC, GSFC/NASA, ANU*);
- Open development via GitHub;
- Growing community of users at universities and labs
- Multiple development groups working with forks from common source.



<https://github.com/NCAR/MOM6>

MOM6 sub-grid scale parameterizations

- **Mesoscale eddies**

- Many ways to prescribe diffusivities
 - MEKE, Jansen et al. 2015
 - GEOMETRIC, Marshall et al., 2012
- Gent & McWilliams, 1990
 - Ferrari et al., 2010
- Neutral diffusion (aka Redi tensor)
 - Shao et al., 2020; Marques et al. (submitted)
- Backscatter
 - MEKE, Jansen et al. 2015
 - GM+E, Bachman et al., 2019

- **Surface boundary layer**

- KPP via Cvmix, Large et al., 1994
- ePBL, Reichl and Hallberg, 2018
- Bulk mixed layer

- **Submesoscale eddies**

- Fox-Kemper et al., 2008

- **Shear-mixing**

- Jackson et al., 2008
- CVmix (LMD94)

- **SW penetration**

- Manizza et al., 2005
- Morel, 1988

- **Bottom boundary layer**

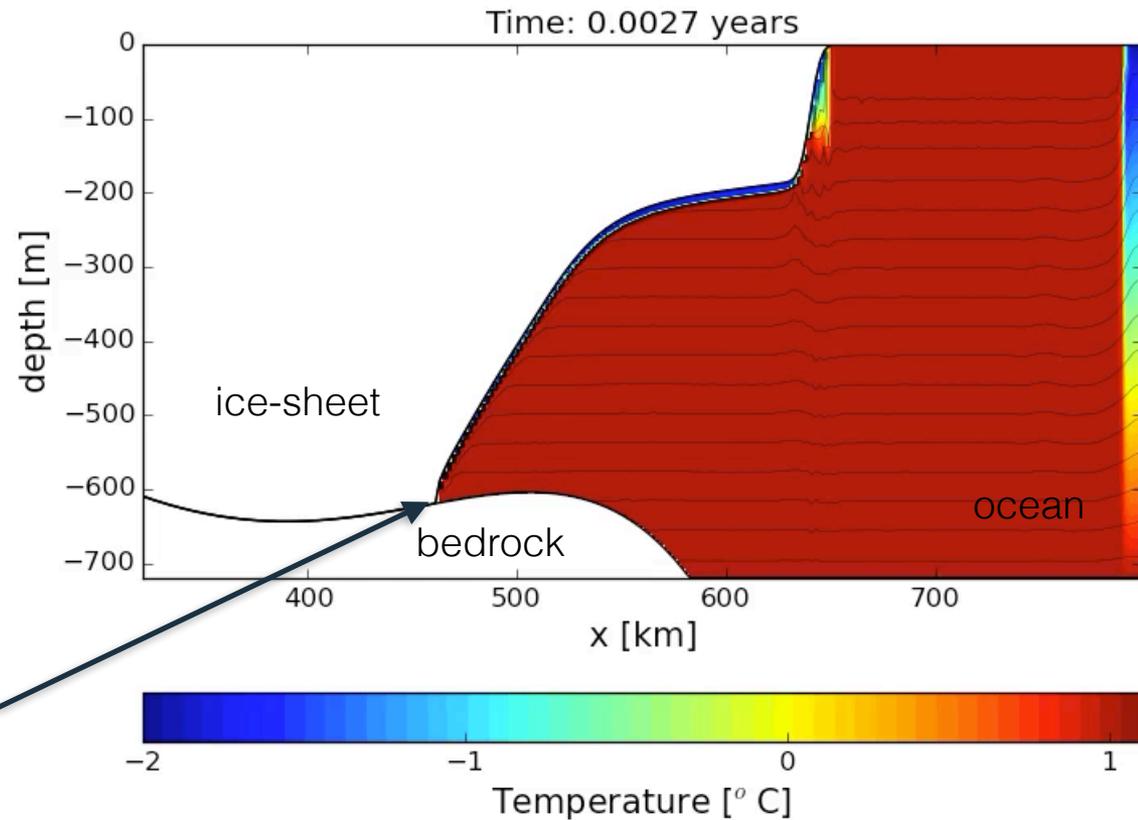
- **Geothermal**

- **Internal tide-driven mixing**

Option to represent ice shelf cavities

Ice-shelf cavities simulated with evolving ice-shelf module coupled to ocean

ISOMIP+ (ocean-only)



Moving grounding line

Functional release of MOM6 starting in CESM 2.2

Functional release = it works but it has not being scientific validated.
CESM/MOM6 is evolving very fast.

Downloading CESM+MOM6 (assuming CESM is already ported)

- Clone CESM GitHub repository: (~ 5 sec)

```
$ git clone https://github.com/ESCOMP/CESM.git
```

- Check out the following CESM 2.3 tag, which includes MOM6 : (~ 1 sec)

```
$ cd CESM
```

```
$ git checkout cesm2_3_alpha05c
```

- Check out externals : (~ 2 min)

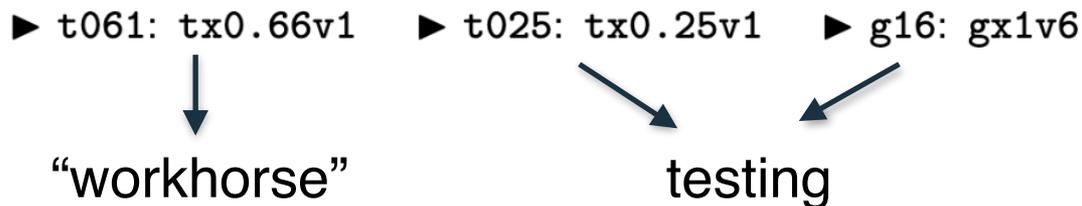
```
$ ./manage_externals/checkout_externals -o
```

Detailed instructions:

https://github.com/ESCOMP/MOM_interface/wiki/Detailed-Instructions

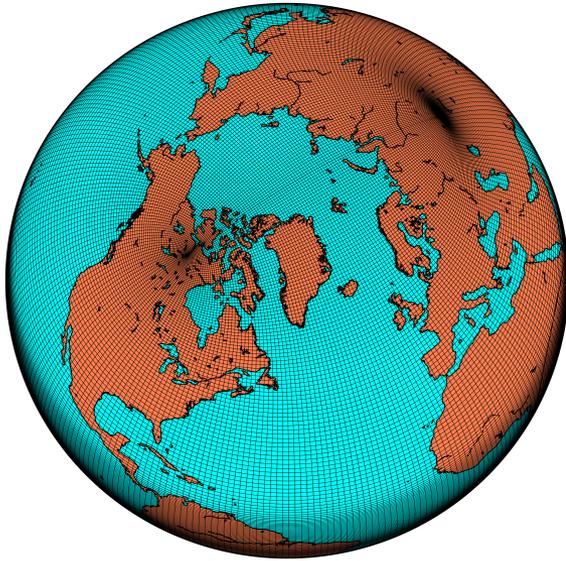
Available configurations

COMPSET	Compatible Resolutions	Description
CMOM	T62_t061, T62_g16, T62_t025	<i>MOM6 only, CORE2 NYF</i>
CMOM_IAF	T62_t061, T62_g16, T62_t025	<i>MOM6 only, CORE2 IAF</i>
CMOM_JRA	TL319_t061, TL319_g16	<i>MOM6 only, JRA55</i>
GMOM	T62_t061, T62_g16, T62_t025	<i>MOM6 and CICE only, CORE2 NYF</i>
GMOM_IAF	T62_t061, T62_g16, T62_t025	<i>MOM6 and CICE only, CORE2 IAF</i>
GMOM_JRA	TL319_t061, TL319_g16	<i>MOM6 and CICE only, JRA55</i>
BMOM	f09_t061	<i>Fully Coupled</i>



CESM-MOM6 "Workhorse" Configuration

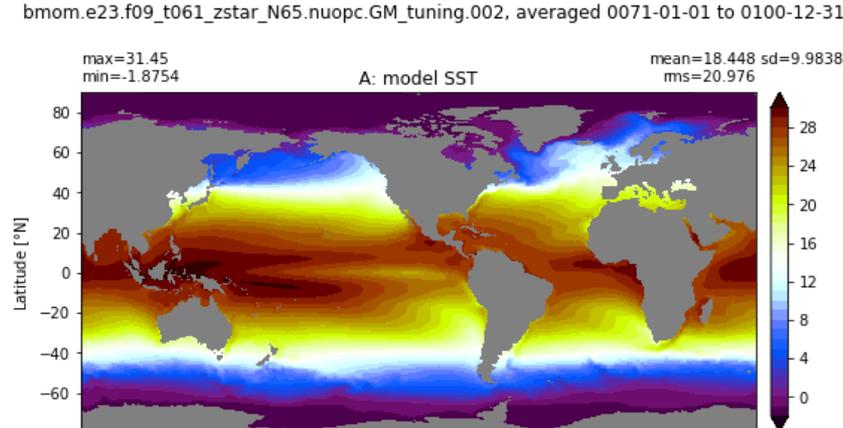
Tripole grid



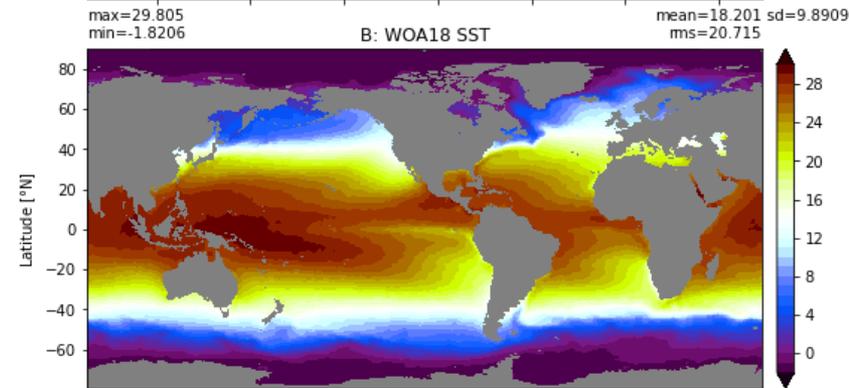
tx0.6 (nominal 2/3°)

Century length integrations of CESM with MOM6 producing stable climate with bias and drift less than or equal to POP.

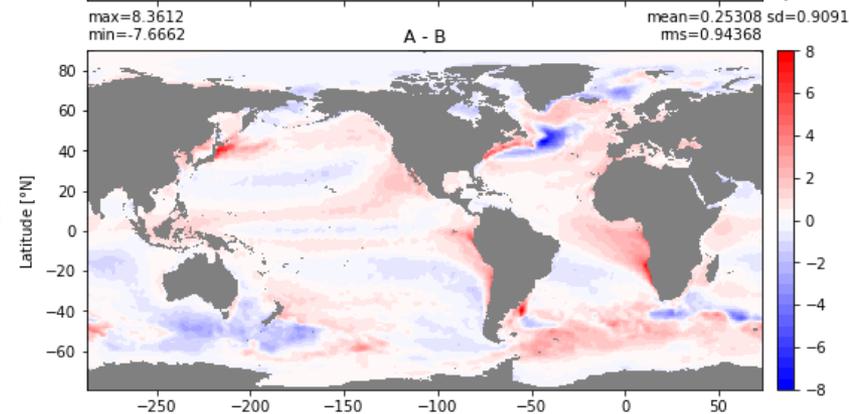
MOM6



OBS



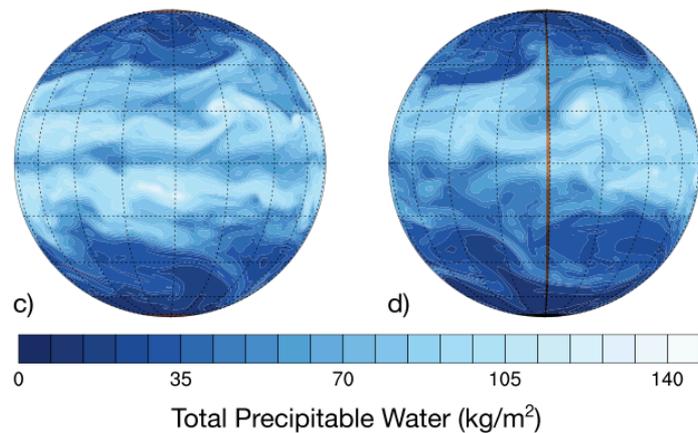
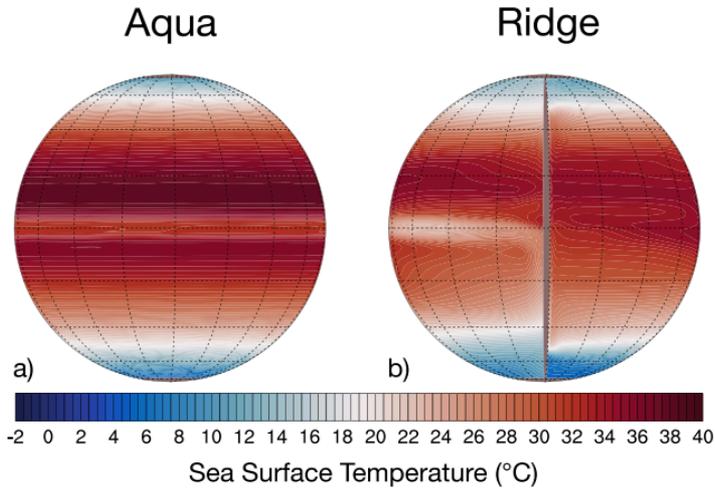
MOM6 - OBS



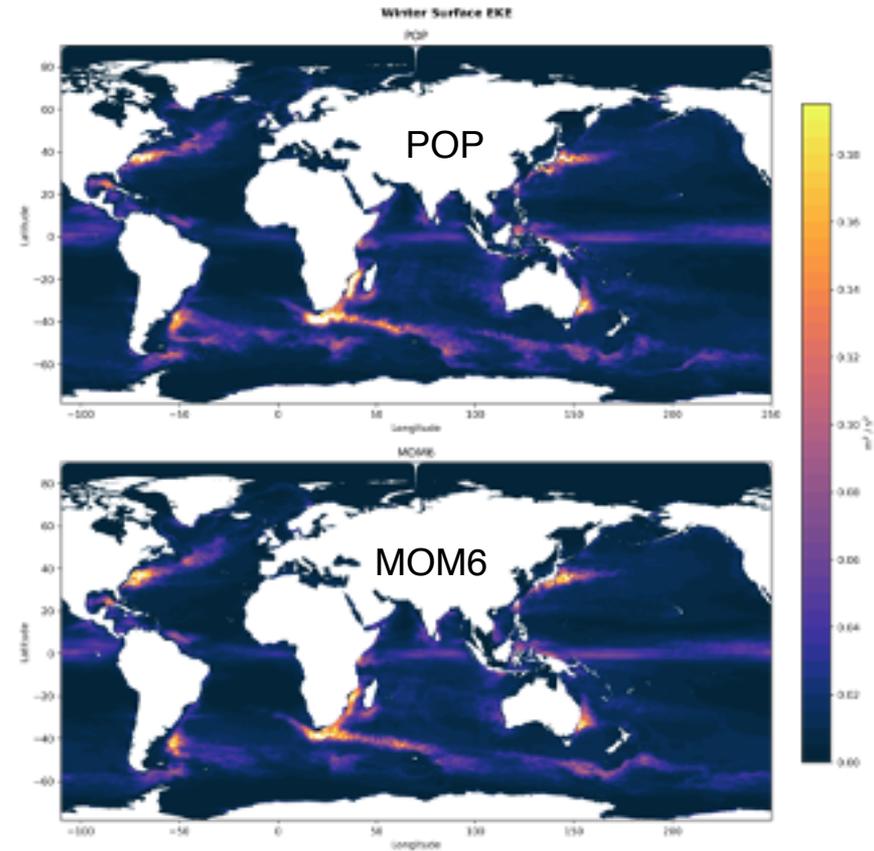
Alternative CESM ocean configurations with MOM6

Coupled Aqua- and Ridge-Planets

High-res global



Wu et al (2021)

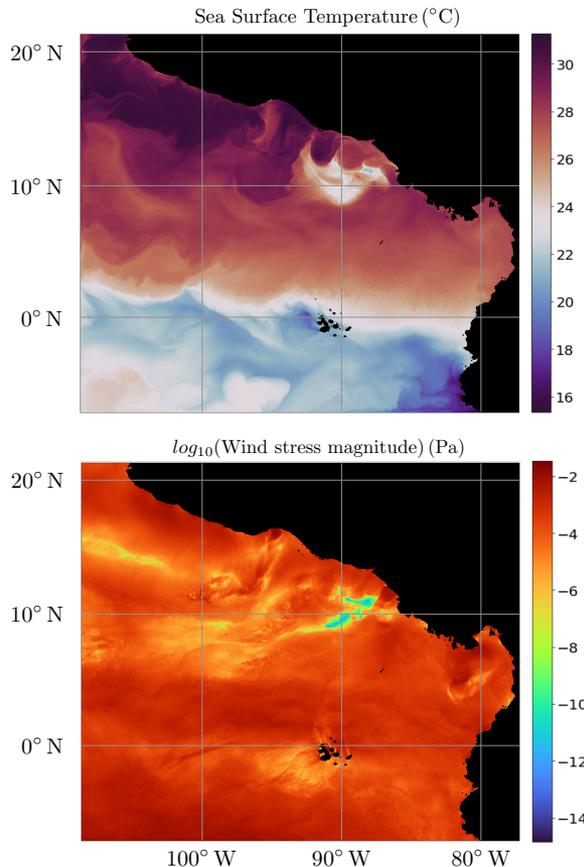


Partee et al (2021)

Regional Ocean Modeling Using CESM-MOM6

Eastern Tropical Pacific

CESM-MOM6 (1 km) Driven by MPAS-A (3 km)

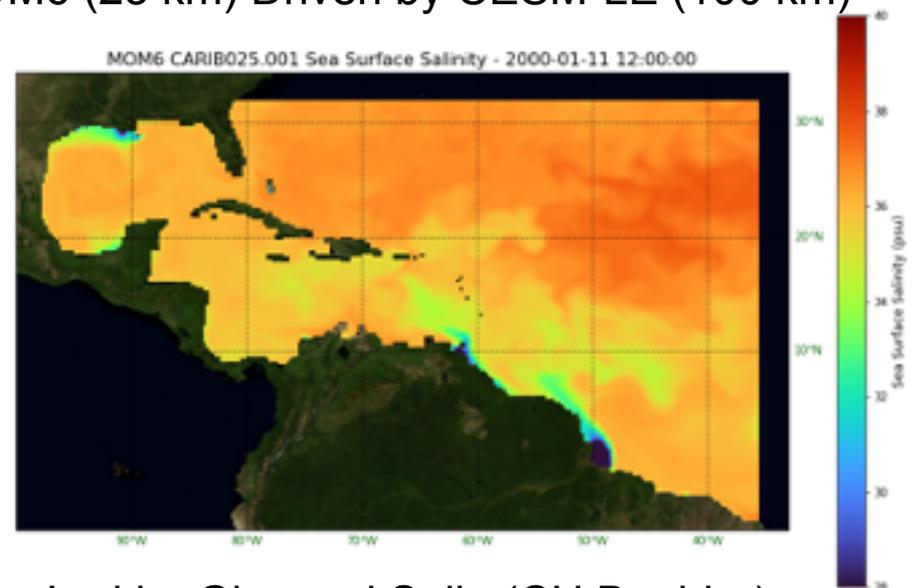


Led by Scott Bachman (NCAR)

Working towards support for easily configurable/re-locatable regional ocean model in CESM framework using CESM-MOM6 codebase and CESM/CIME infrastructure.

Caribbean Sea/Gulf of Mexico

MOM6 (25 km) Driven by CESM-LE (100 km)



Led by Giovanni Seijo (CU Boulder)

Helpful resources for the POP model

Webpage for POP: <http://www.cesm.ucar.edu/models/cesm2/ocean/>

- CESM2.0 POP2 User Guide
- MARBL Documentation
- Ocean Ecosystem Model User Guide
- POP Reference Manual
- Port validation
- Post-processing Utilities
- CESM1 User Guides and FAQ

CESM/POP forum:

<https://bb.cgd.ucar.edu/cesm/forums/pop.136/>

Helpful resources for the MOM6 model

- **Webpage for CESM/MOM6:** quick start; overview; tutorials
<https://github.com/NCAR/MOM6/wiki>
- **MOM6 webinar tutorial series** spring-summer 2020: theory, how-to, use-cases
<https://www.cesm.ucar.edu/events/2020/MOM6/>
- Expanding **documentation** with community contributions
<https://mom6.readthedocs.io/>
- Packages for **post-processing analysis:**
mom6_tools: <https://github.com/NCAR/mom6-tools>
om4labs: <https://github.com/raphaeldussin/om4labs>
- **MOM6 forum** is for technical and scientific questions related to MOM6, including but not limited to its use in CESM:
<https://bb.cgd.ucar.edu/cesm/forums/mom6.148/>

References

- Danabasoglu, G., et al., 2016. North Atlantic simulations in Coordinated Ocean-ice Reference Experiments phase II (CORE-II). Part II: Inter-annual to decadal variability. *Ocean Modelling*, 97, pp.65-90.
- Hallberg, R. (2013). Using a resolution function to regulate parameterizations of oceanic mesoscale eddy effects. *Ocean Modelling*, 72, 92-103.
- Large, W.G. and Yeager, S.G., 2004. Diurnal to decadal global forcing for ocean and sea-ice models: the data sets and flux climatologies. NCAR Technical Note. National Center for Atmospheric Research, 11, pp.324-336.
- Large, W.G. and Yeager, S.G., 2009. The global climatology of an interannually varying air–sea flux data set. *Climate dynamics*, 33(2-3), pp.341-364.
- Murray, R., 1996: Explicit generation of orthogonal grids for ocean models. *J. Comp. Phys.*, 126, 251–273.
- Partee, S., et al., 2022. Using Machine Learning at scale in numerical simulations with SmartSim: An application to ocean climate modeling. *Journal of Computational Science* (2022): 101707.
- Sun, Q., Whitney, M.M., Bryan, F.O. and Tseng, Y.H., 2017. A box model for representing estuarine physical processes in Earth system models. *Ocean Modelling*, 112, pp.139-153.
- Smith, R., et al., 2010. The parallel ocean program (POP) reference manual: ocean component of the community climate system model (CCSM) and community earth system model (CESM). Rep. LAUR-01853, 141, pp.1-140.
- Tsujino, H., , et al., 2018. JRA-55 based surface dataset for driving ocean–sea-ice models (JRA55-do). *Ocean Modelling*, 130, pp.79-139.
- Wu, X., , et al., 2021. Coupled aqua and ridge planets in the community earth system model. *Journal of Advances in Modeling Earth Systems* 13, no. 4.

Thank you!

Gustavo Marques
gmarques@ucar.edu



Ocean Model Working Group:
http://www.cesm.ucar.edu/working_groups/Ocean/