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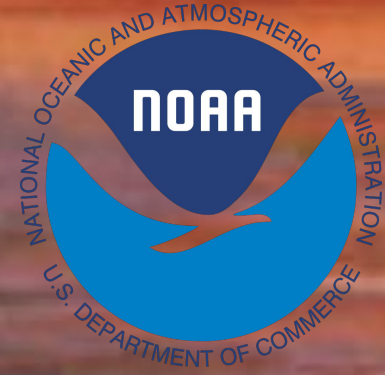
OPEN

# Volcanism and ENSO

A re-appraisal of the ENSO response to volcanism with paleoclimate data assimilation

A Re-appraisal with Paleoclimate Data Assimilation

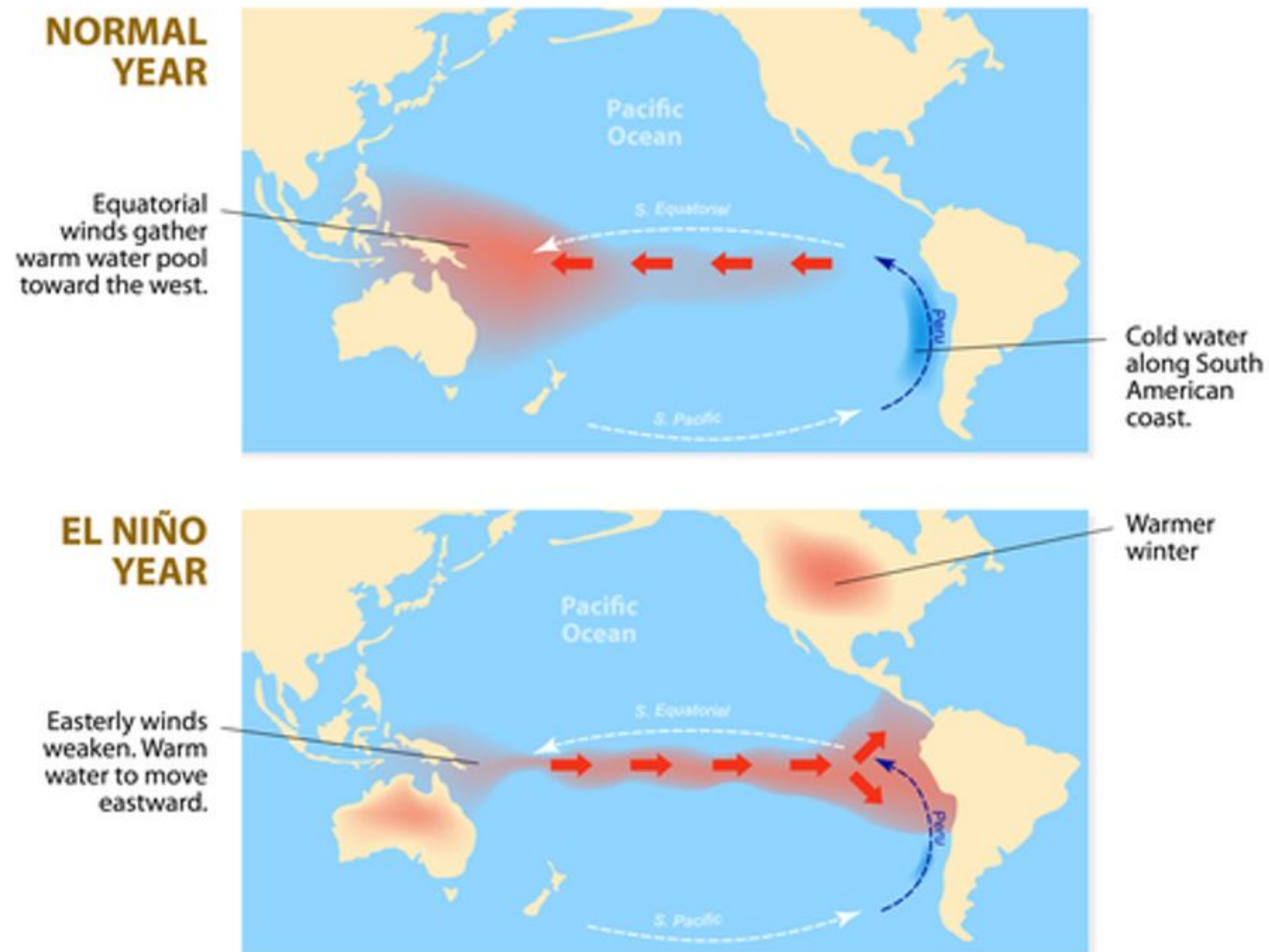
Feng Zhu (NCAR), Julien Emile-Geay (USC), Kevin J. Anchukaitis (U. Arizona),  
Gregory D. Hawkins (DMU), Andrew Wittenberg (GFDL), Mariano Morales (CONICET),  
Matthew Toohey (U. Saskatchewan), Jonathan King (U. Arizona)





# The ENSO response to volcanism?

## THE EL NIÑO PHENOMENON



Models suggest **El Niño-like** response in year following eruptions:

e.g. Mann et al. (2005); Emile-Geay et al. (2008); Ohba et al. (2013); Stevenson et al. (2016),  
McGregor et al. (2020)



# Tree-ring based studies

Most tree-ring based observational studies support a strong linkage. For instance, Li et al. (2013) [\[Li13\]](#)



“... our reconstruction reveals a **robust** ENSO response to large tropical eruptions ...”

# Coral based studies

However, a recent coral-based observational study Dee et al. (2020) [D20] suggests a much weaker – if not inexistent – linkage between volcanoes and ENSO.

## RESEARCH

### CLIMATE FORCING

## No consistent ENSO response to volcanic forcing over the last millennium

Sylvia G. Dee<sup>1\*</sup>, Kim M. Cobb<sup>2</sup>, Julien Emile-Geay<sup>3</sup>, Toby R. Ault<sup>4</sup>, R. Lawrence Edwards<sup>5</sup>,  
Hai Cheng<sup>6,5</sup>, Christopher D. Charles<sup>7</sup>

“Superposed epoch analysis reveals a weak tendency for an El Niño–like response in the year after an eruption, but this response is **not statistically significant**, nor does it appear after the outsized 1257 Samalas eruption.”

# How should we understand the seemingly divergent conclusions?

Contradictions  
between **trees** and  
**corals** ?

## LETTERS

PUBLISHED ONLINE: 2 JULY 2013 | DOI: 10.1038/NCLIMATE1936

nature  
climate change

### El Niño modulations over the past seven centuries

Jinbao Li<sup>1,2\*</sup>, Shang-Ping Xie<sup>2,3,4</sup>, Edward R. Cook<sup>5</sup>, Mariano S. Morales<sup>6</sup>, Duncan A. Christie<sup>7,8</sup>, Nathaniel C. Johnson<sup>2</sup>, Fahu Chen<sup>9</sup>, Rosanne D'Arrigo<sup>5</sup>, Anthony M. Fowler<sup>10</sup>, Xiaohua Gou<sup>9</sup> and Keyan Fang<sup>9</sup>

## RESEARCH

### CLIMATE FORCING


### No consistent ENSO response to volcanic forcing over the last millennium

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# The LMR PDA framework

## LMR: Last Millennium Reanalysis



Hakim et al.  
(2016)



Journal of Geophysical Research: Atmospheres

RESEARCH ARTICLE  
10.1002/2016JD024751

**The last millennium climate reanalysis project: Framework and first results**


Gregory J. Hakim<sup>1</sup>, Julien Emile-Geay<sup>2</sup>, Eric J. Steig<sup>1,3</sup>, David Noone<sup>4</sup>, David M. Anderson<sup>5</sup>, Robert Tardif<sup>1</sup>, Nathan Steiger<sup>1</sup>, and Walter A. Perkins<sup>1</sup>

<sup>1</sup>Department of Atmospheric Sciences, University of Washington, Seattle, Washington, USA, <sup>2</sup>Department of Earth Sciences, University of Southern California, Los Angeles, California, USA, <sup>3</sup>Department of Earth and Space Sciences, University of Washington, Seattle, Washington, USA, <sup>4</sup>College of Earth, Ocean, and Atmospheric Sciences, Oregon State University, Corvallis, Oregon, USA, <sup>5</sup>Monterey Bay Aquarium Research Institute, Monterey, California, (USA)


**Key Points:**

- Data assimilation climate field reconstruction skillful against out-of-sample instrumental data and proxies
- Reconstruction skill is highest in the tropics and lowest over Northern Hemisphere land areas
- Multivariate reconstruction of 1808/1809 volcanic cooling associated with PNA pattern in

Clim. Past, 15, 1251–1273, 2019  
<https://doi.org/10.5194/cp-15-1251-2019>  
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Climate of the Past

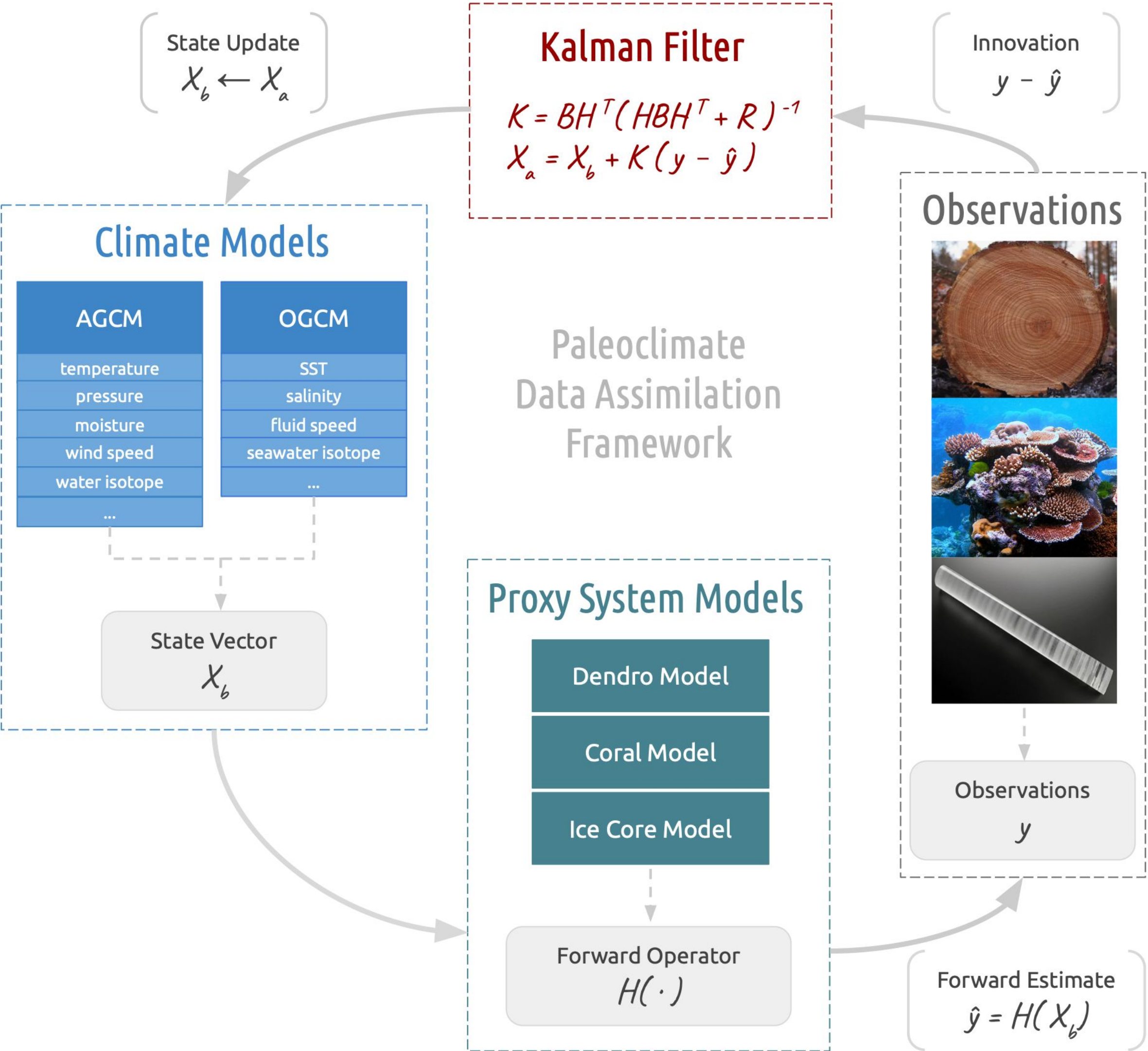


Tardif et al.  
(2019)

**Last Millennium Reanalysis with an expanded proxy database and seasonal proxy modeling**

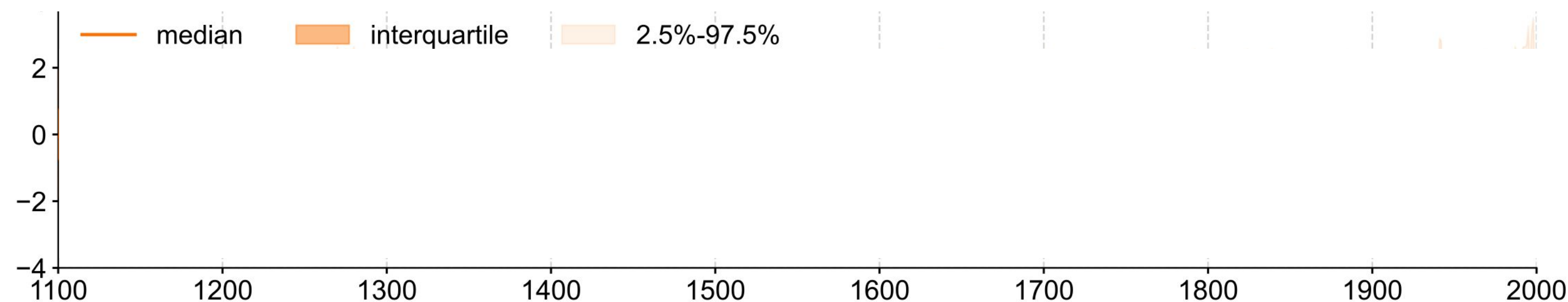
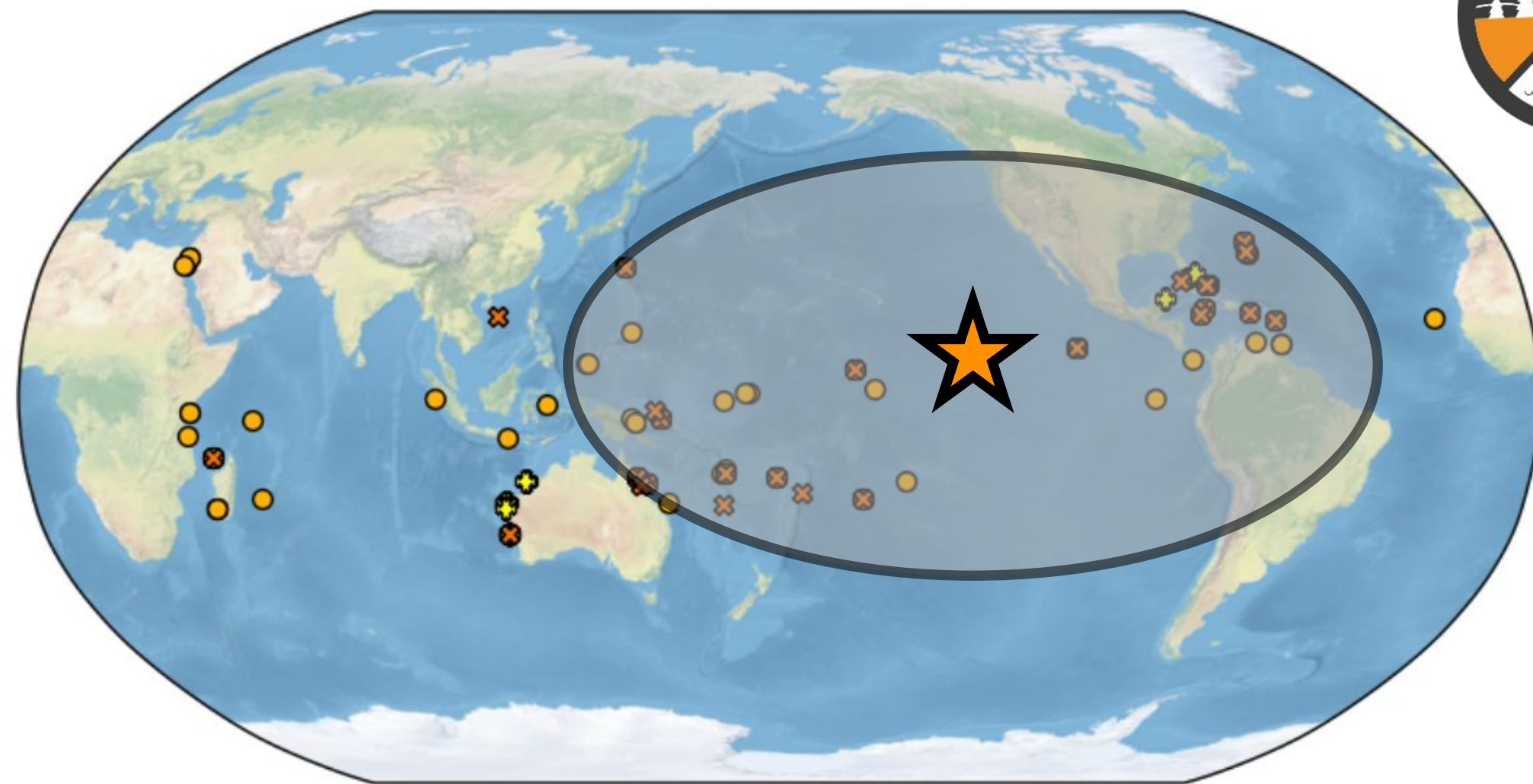
Robert Tardif<sup>1</sup>, Gregory J. Hakim<sup>1</sup>, Walter A. Perkins<sup>1</sup>, Kaleb A. Horlick<sup>2</sup>, Michael P. Erb<sup>3</sup>, Julien Emile-Geay<sup>4</sup>, David M. Anderson<sup>5</sup>, Eric J. Steig<sup>6,1</sup>, and David Noone<sup>2</sup>

<sup>1</sup>Department of Atmospheric Sciences, University of Washington, Seattle, WA, USA  
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<sup>5</sup>Retired, NOAA Paleoclimatology Program, Boulder, CO, USA  
<sup>6</sup>Department of Earth and Space Sciences, University of Washington, Seattle, WA, USA

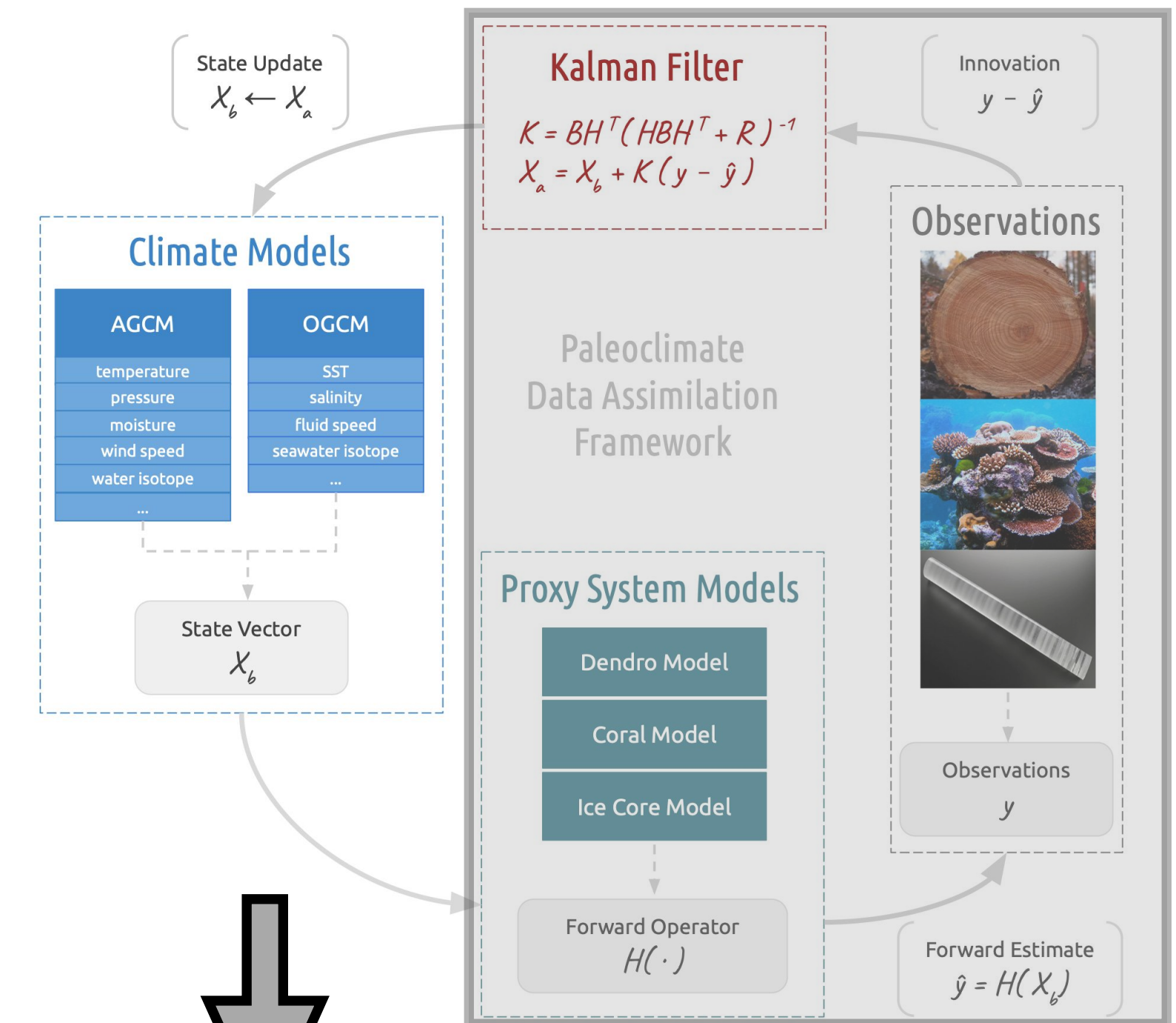




# The LMR PDA framework

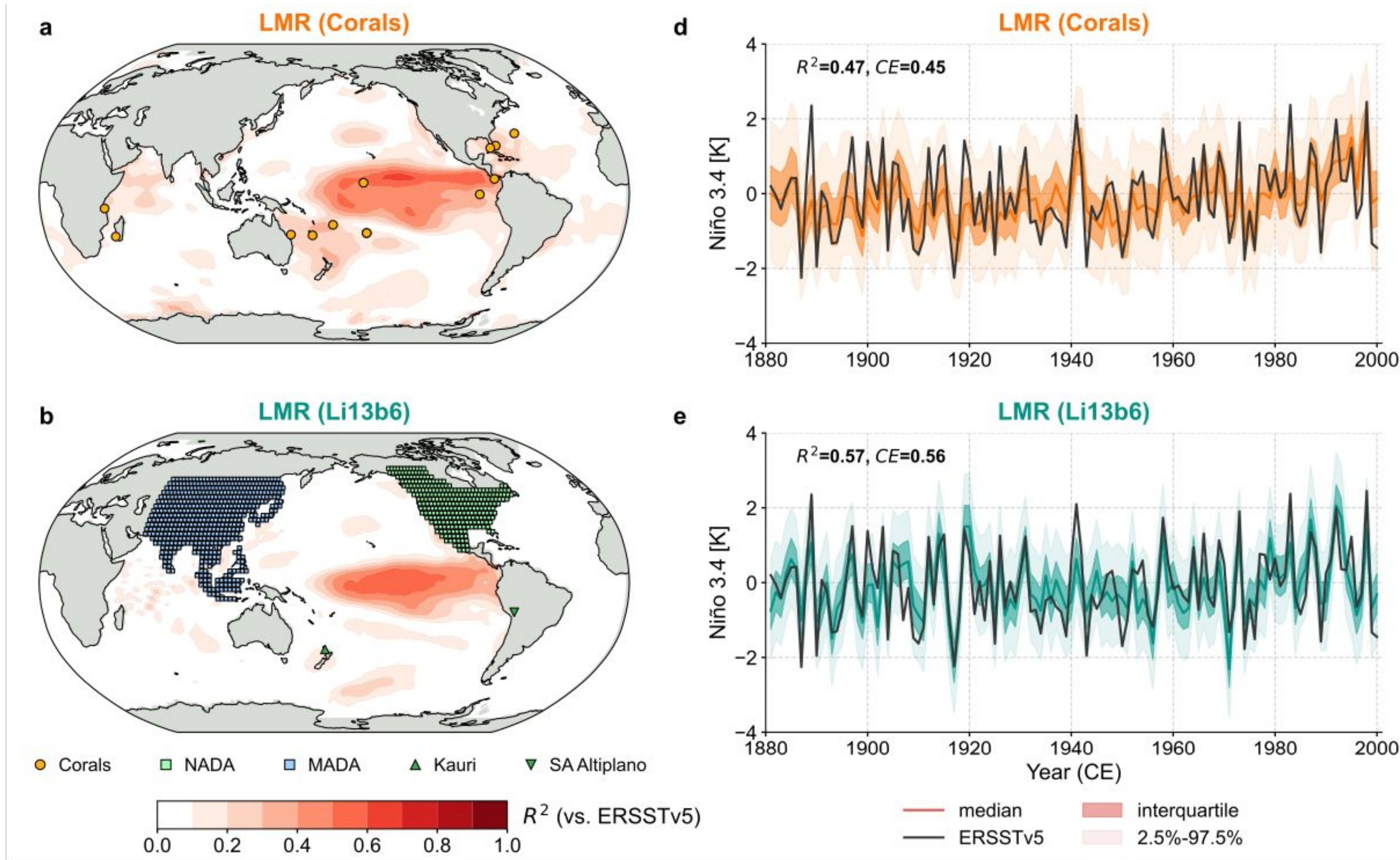


The temporal variability comes purely from the proxies.





# Contradictions between trees and corals?



## Corals only

- › Ocean 2k [Tierney et al. 2015]
- › Latest Palmyra [D20]

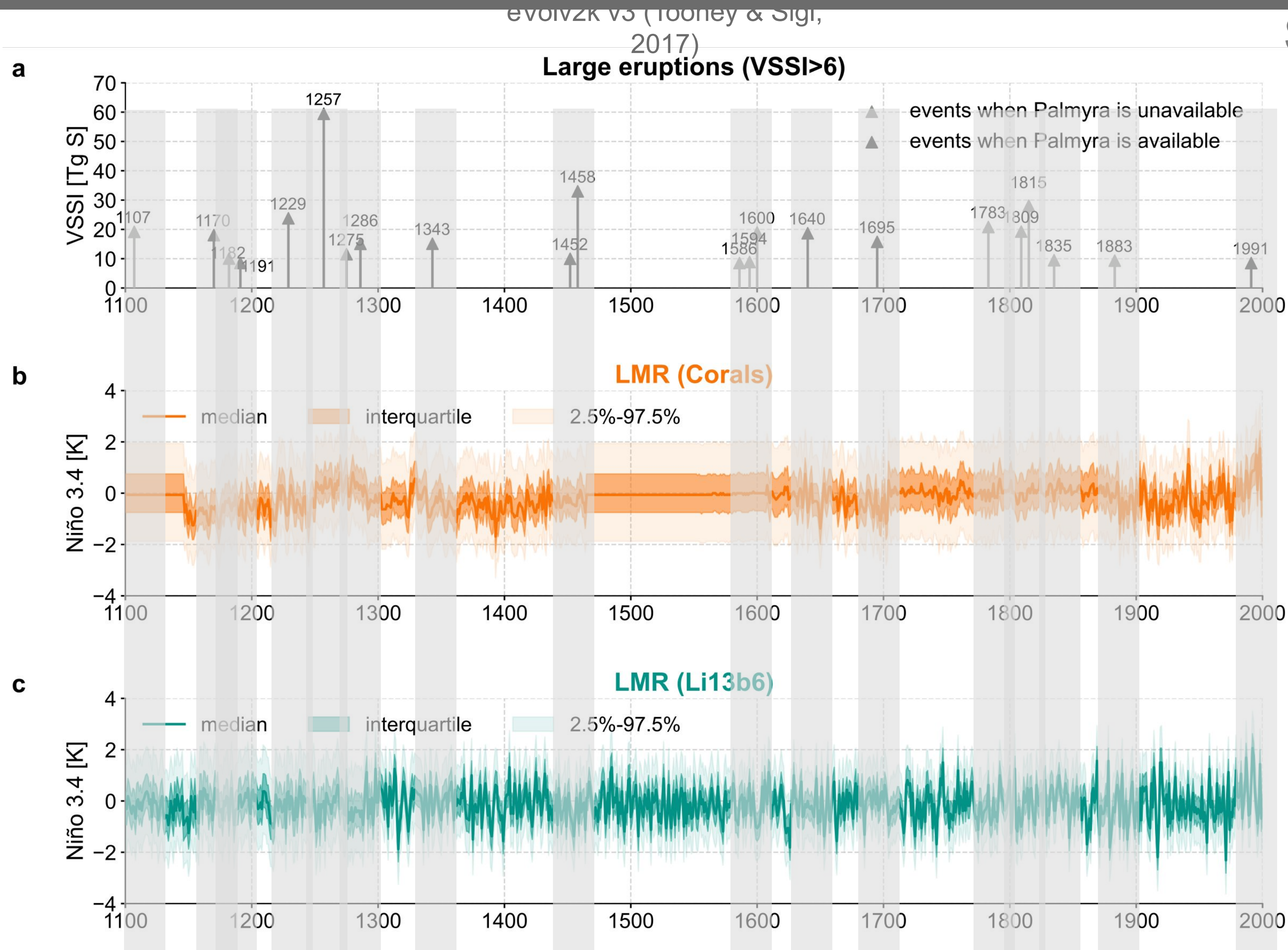


## Trees only

- › best 6/7 predictors [Li13]
  - › PC1&2 of NADA [Cook et al. 2004] and MADA [Cook et al. 2010]
  - › Kauri composite [Wahl et al. 2014]
  - › South American Altiplano composite [Morales et al. 2012]

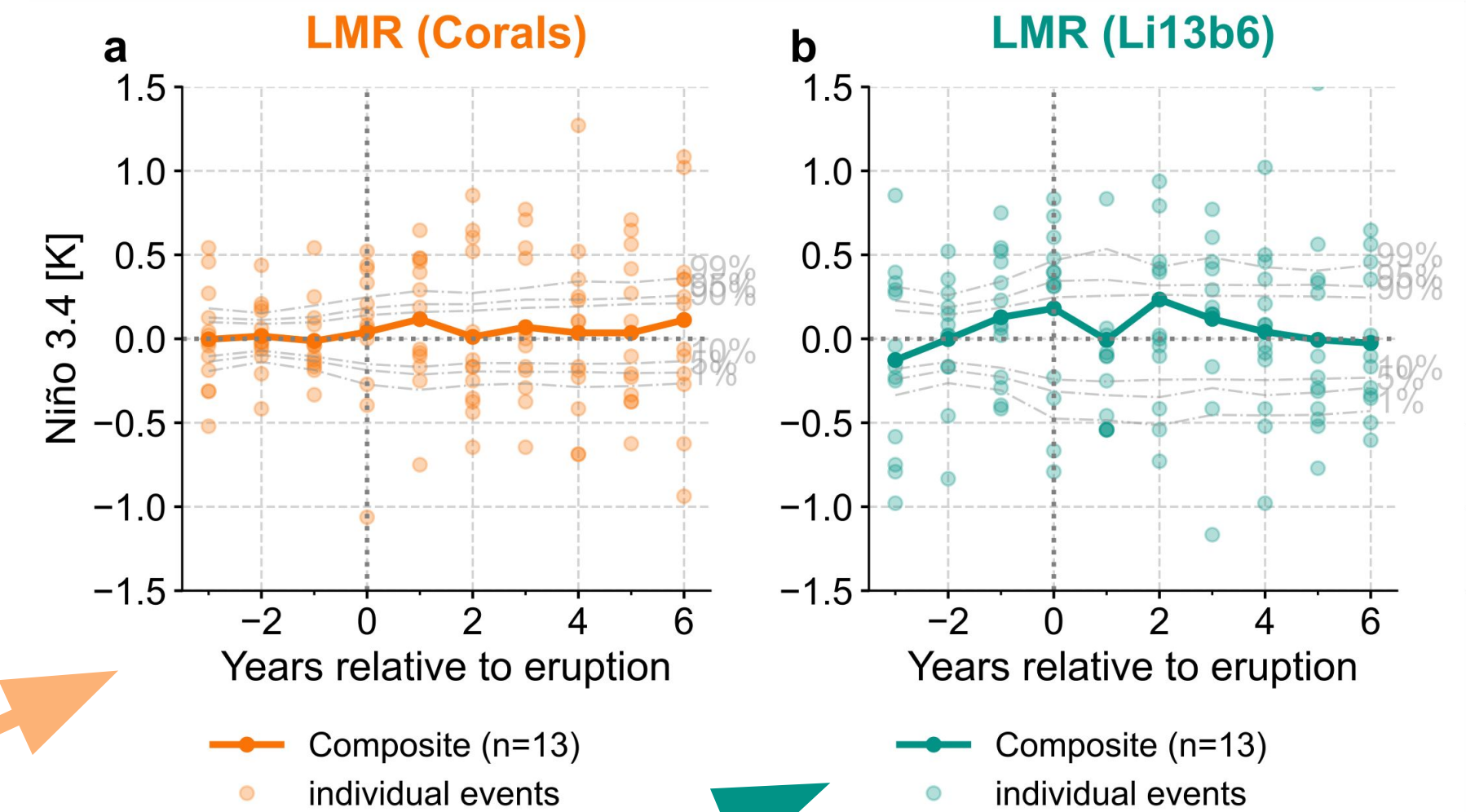


# Contradictions between trees and corals?



## Superposed Epoch Analysis (SEA)

UQ: double bootstrap as in *Rao et al. (2019)*

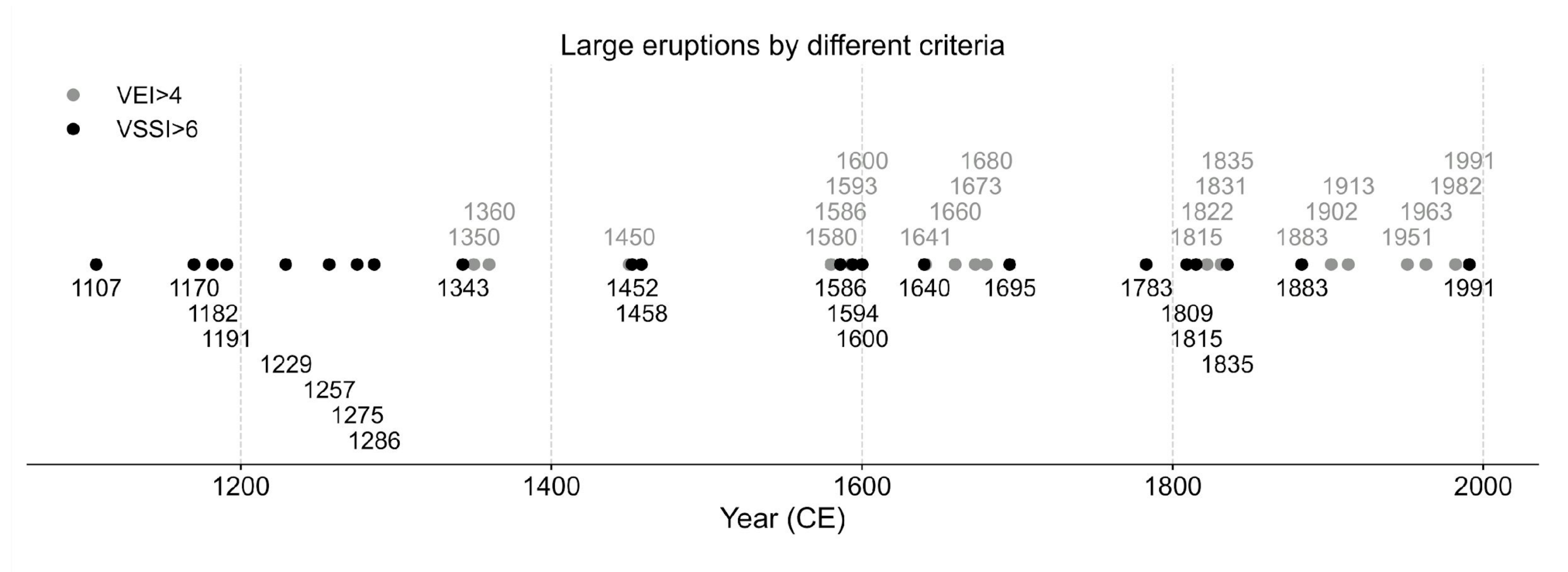


**A consistent insignificant El Niño response to large eruptions in both reconstructions.**

**The difference in proxy type does not lead to the different conclusions.**



# Different criteria for event selection



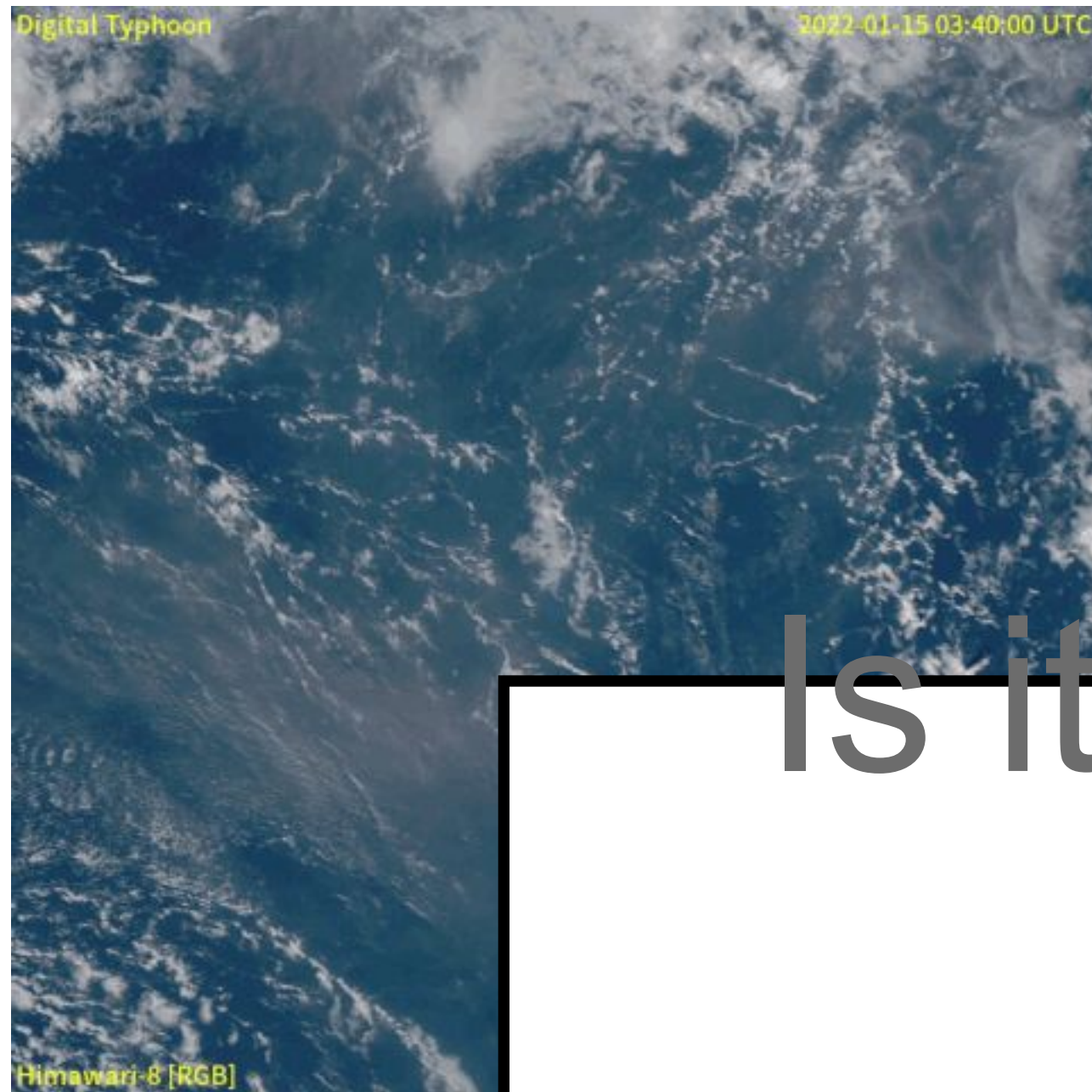
[Li13] **VEI** (Volcanic Explosivity Index): measures **the volume of the erupted tephra**

[D20] **VSSI** (volcanic stratospheric sulfur injection):

measures **the mass of the sulfur injected to the stratosphere**, which directly affects the amount of shortwave radiation that enters the climate system



# Tonga-Hunga Ha'apai eruption on 1/15/2022



[https://en.wikipedia.org/wiki/2022\\_Tonga-Hunga\\_Ha'apai\\_earthquake](https://en.wikipedia.org/wiki/2022_Tonga-Hunga_Ha'apai_earthquake)

KeAi  
CHINESE ROOTS  
GLOBAL IMPACT

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Under the surface: Pressure-induced planetary-scale waves, volcanic lightning, and gaseous clouds caused by the submarine eruption of Hunga Tonga-Hunga Ha'apai volcano

Is it the different criteria that leads to the different conclusions?

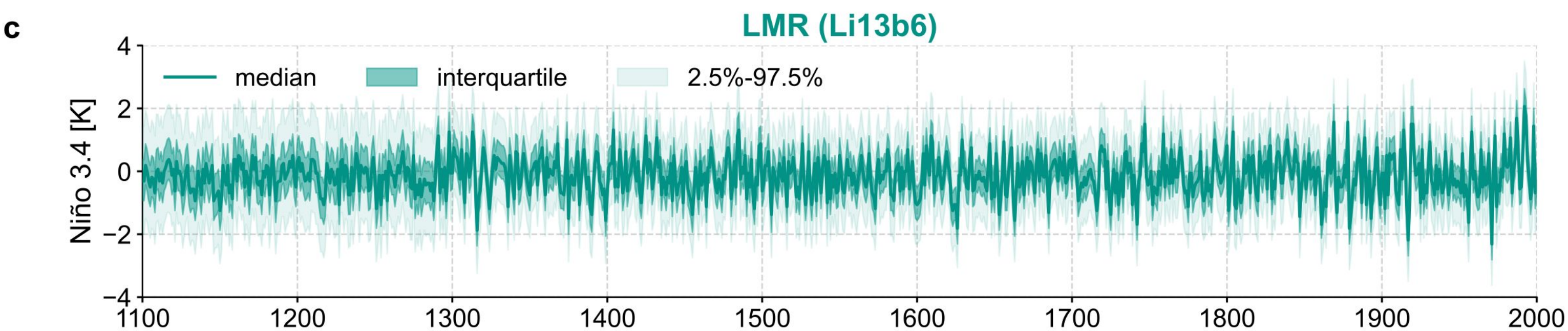
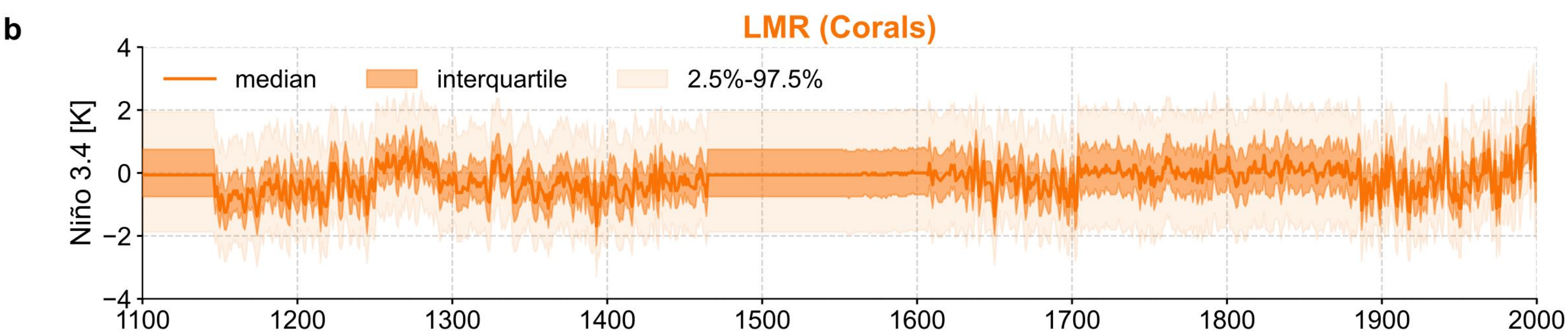
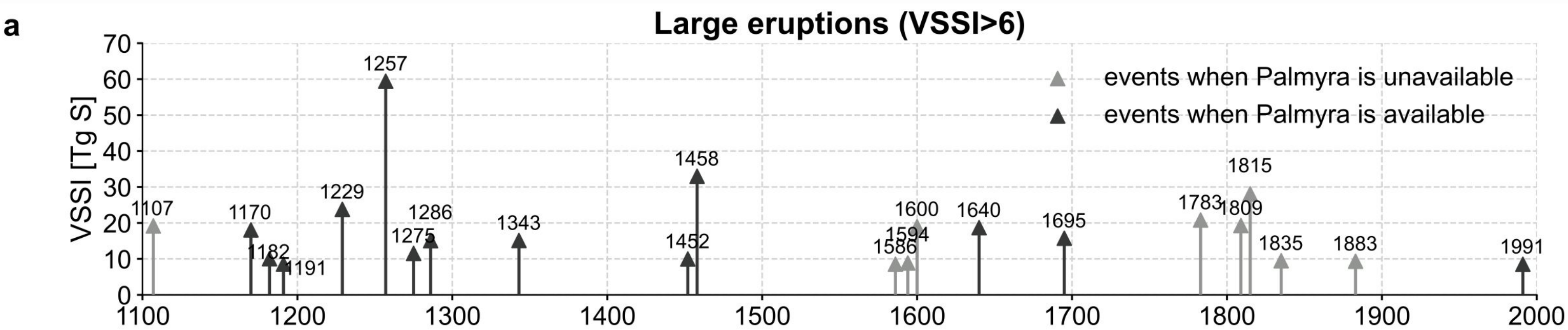
Meng ZUO<sup>1</sup>, Tianjun ZHOU<sup>1,2</sup>, Wenmin MAN<sup>1</sup>, Xiaolong CHEN<sup>1</sup>, Jian LIU<sup>3</sup>, Fei LIU<sup>4</sup>, and Chaochao GAO<sup>5</sup>

“the current eruption of the HTHH volcano **is not strong enough** to overwhelm the global warming tendency or **to have significant impacts on the global climate.**”

VEI bears a less direct relation to climate forcing



# Pros & cons of each proxy type

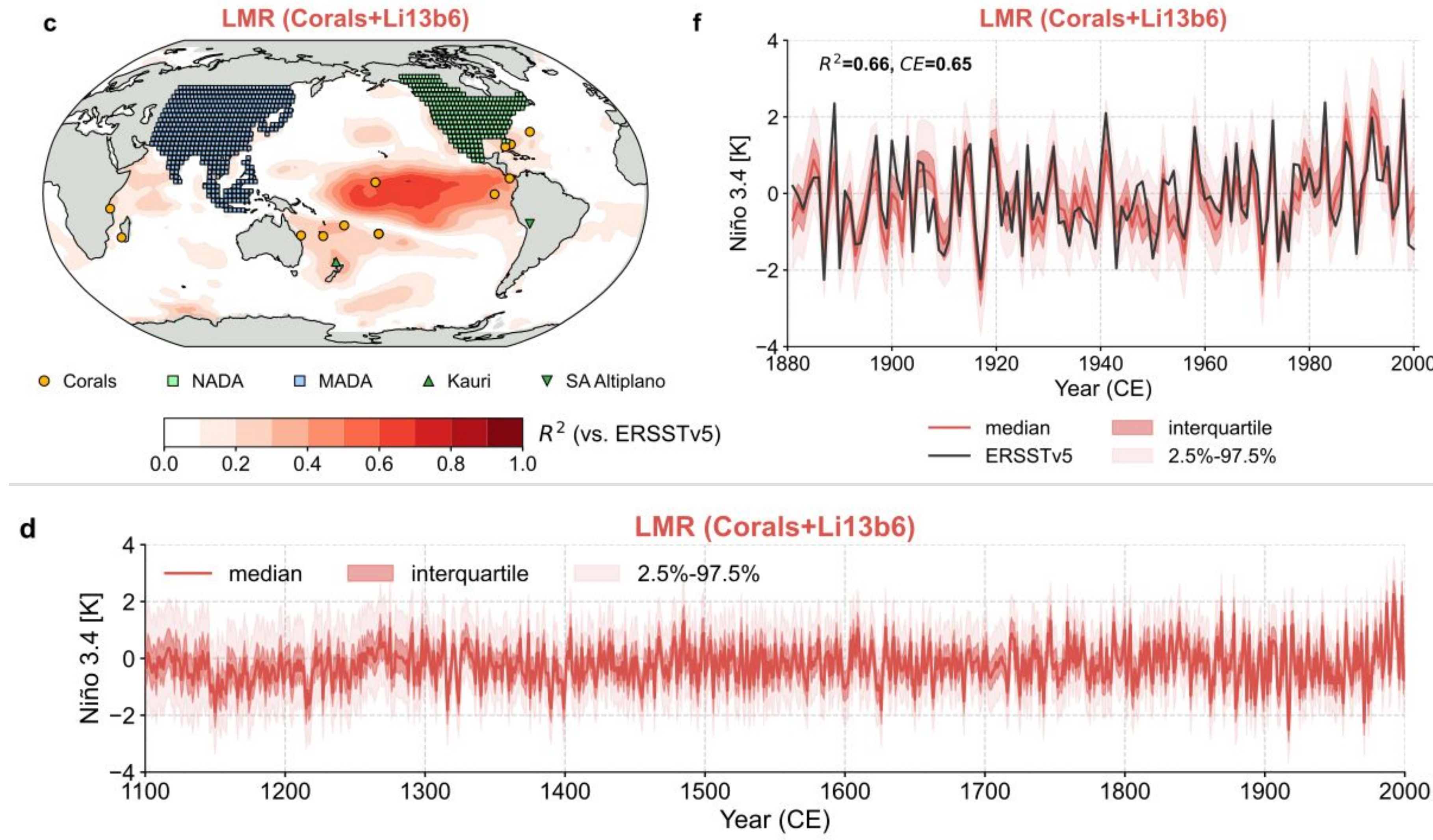


	Distance to target	Continuity
Corals	close	discontinuous
Trees	far away	continuous

PDA offers the opportunity to fuse different proxies and yield the optimal estimate.

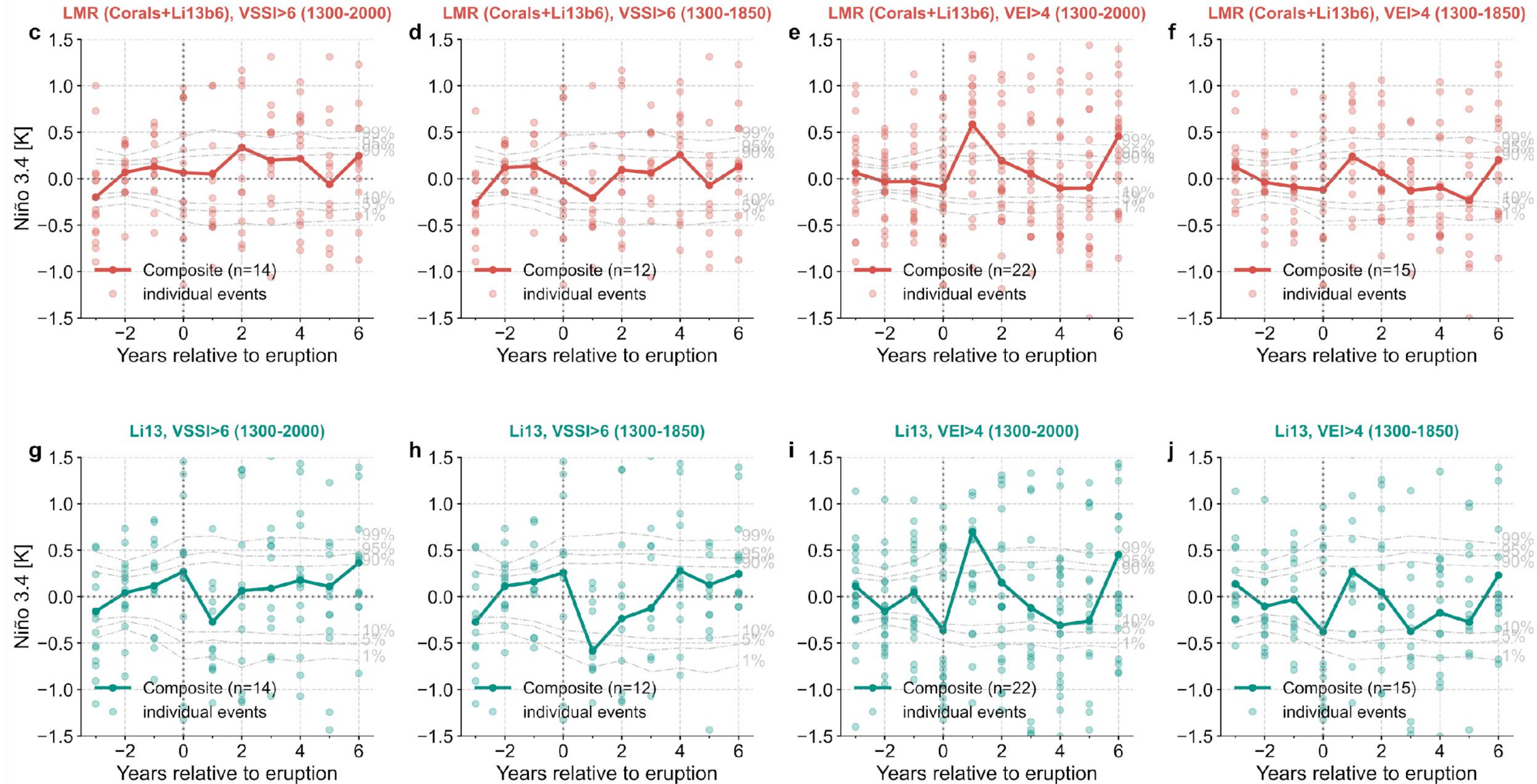


# The “best” reconstruction fusing both trees & corals





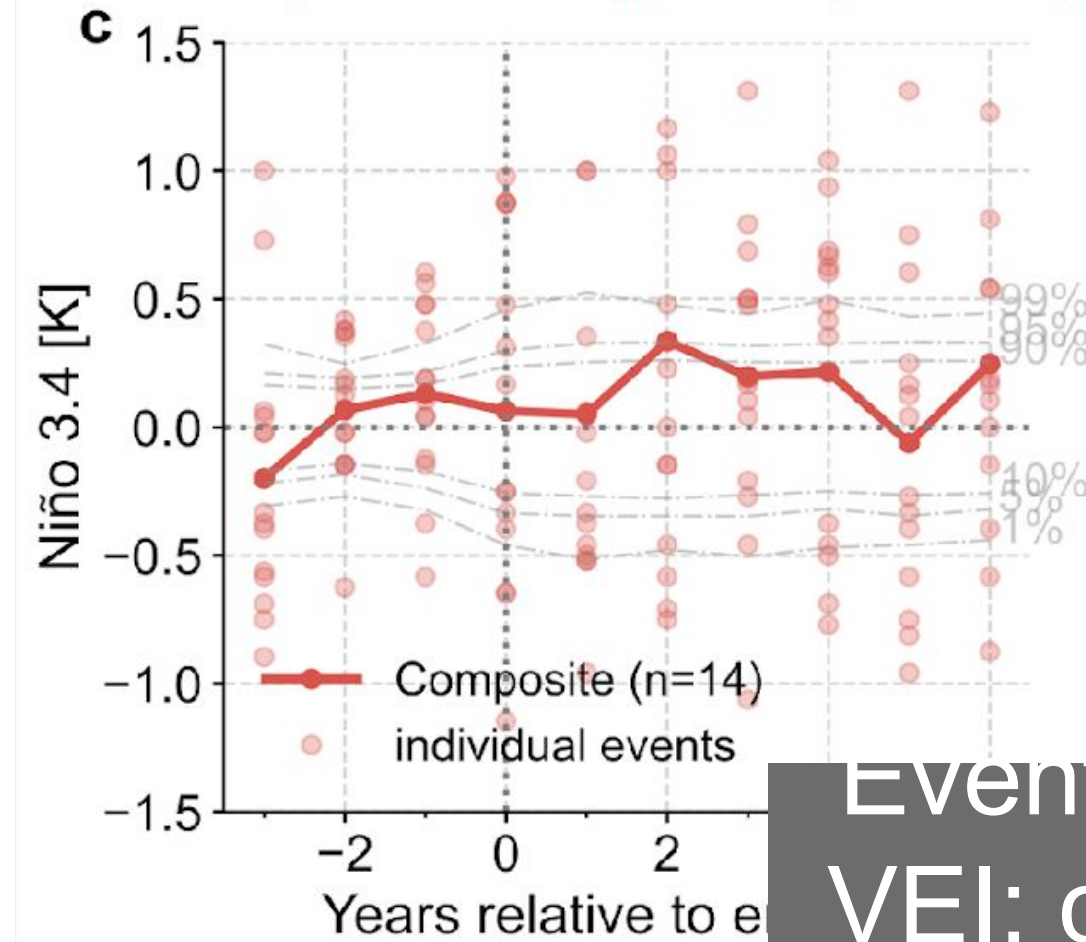
# Superposed Epoch Analysis (SEA)



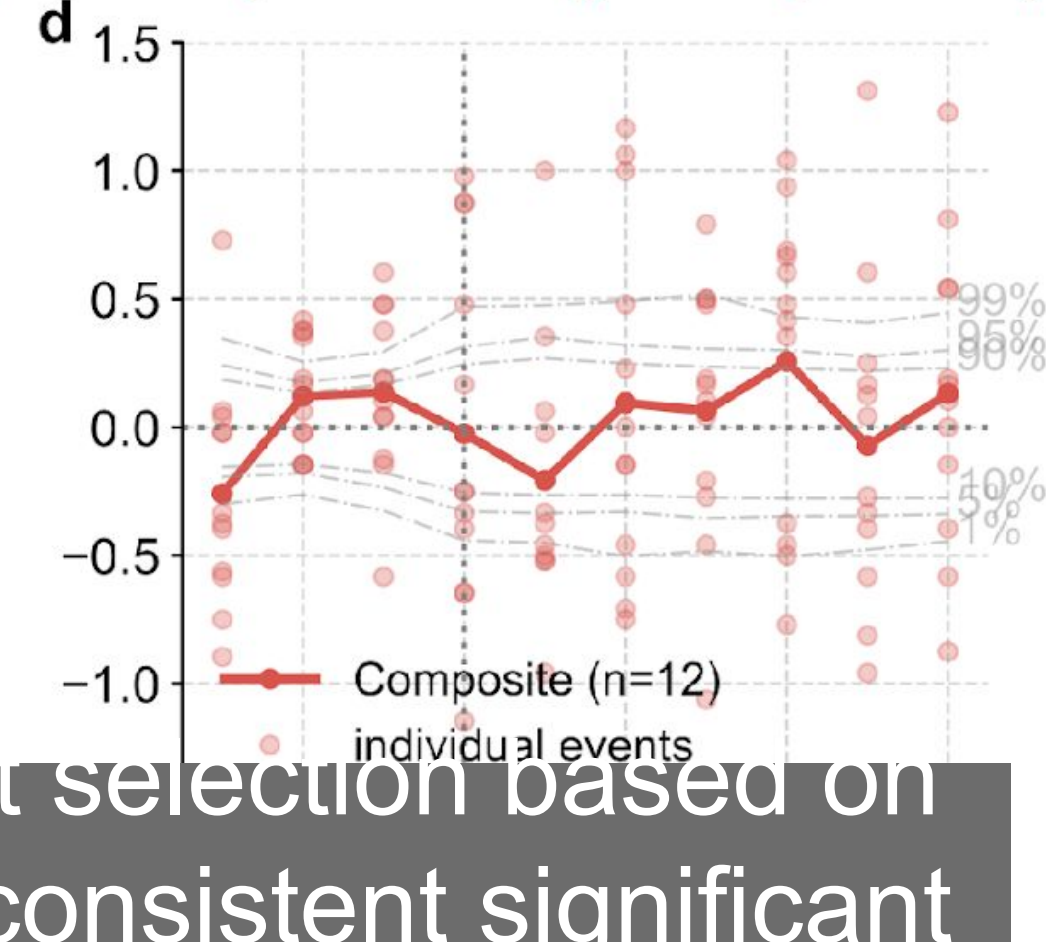


# Superposed Epoch Analysis (SEA)

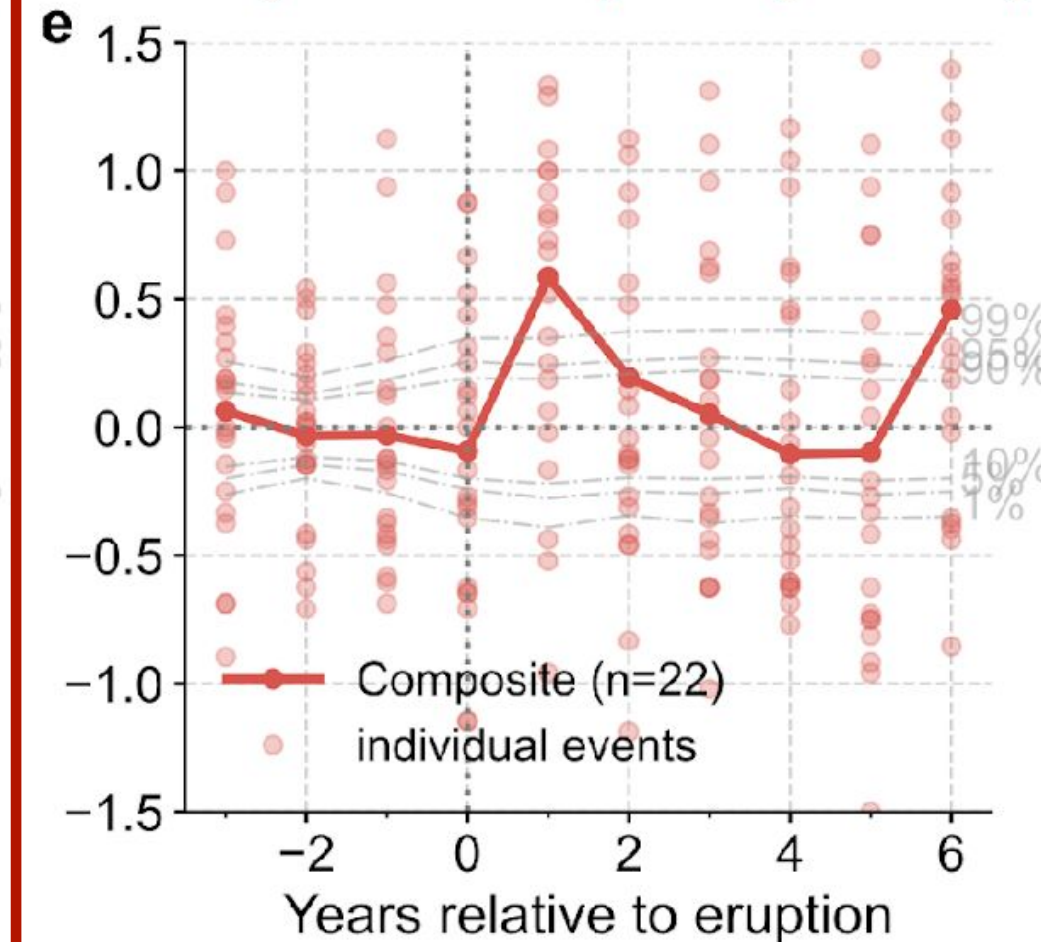
c LMR (Corals+Li13b6), VSSI>6 (1300-2000)



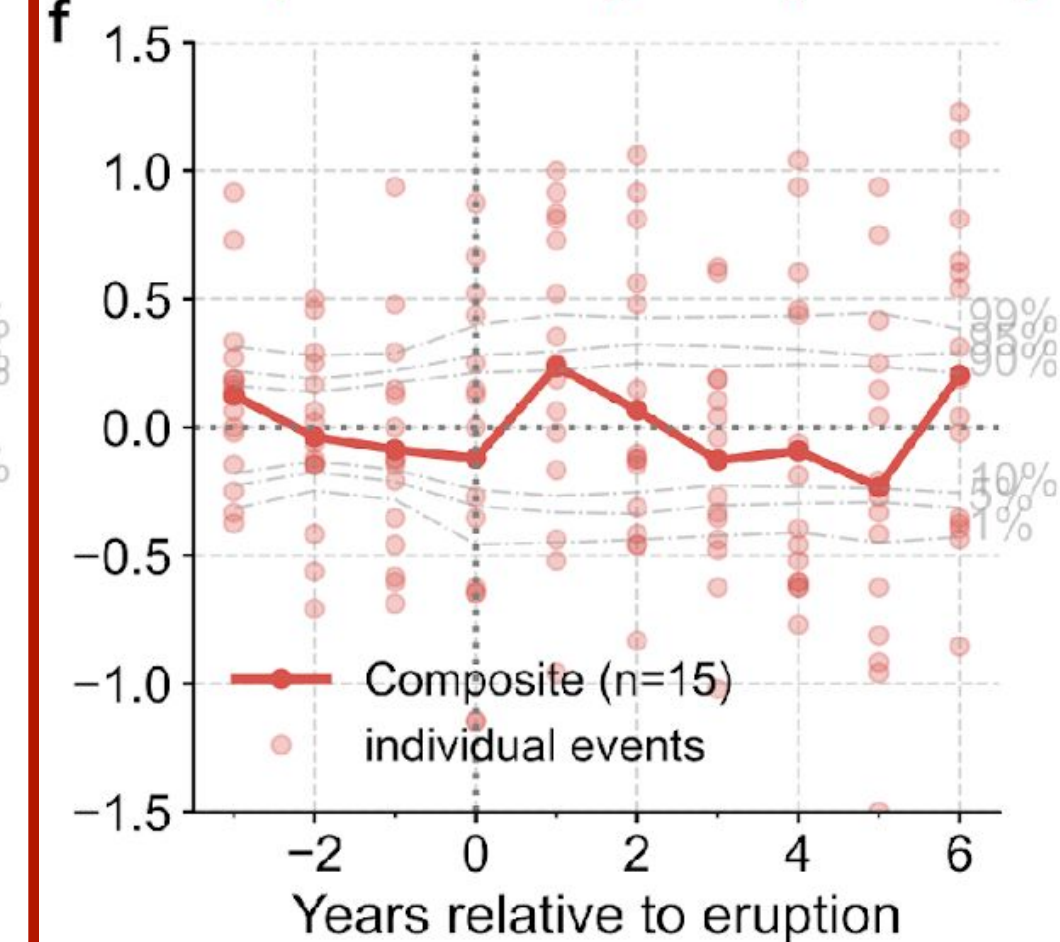
d LMR (Corals+Li13b6), VSSI>6 (1300-1850)



e LMR (Corals+Li13b6), VEI>4 (1300-2000)

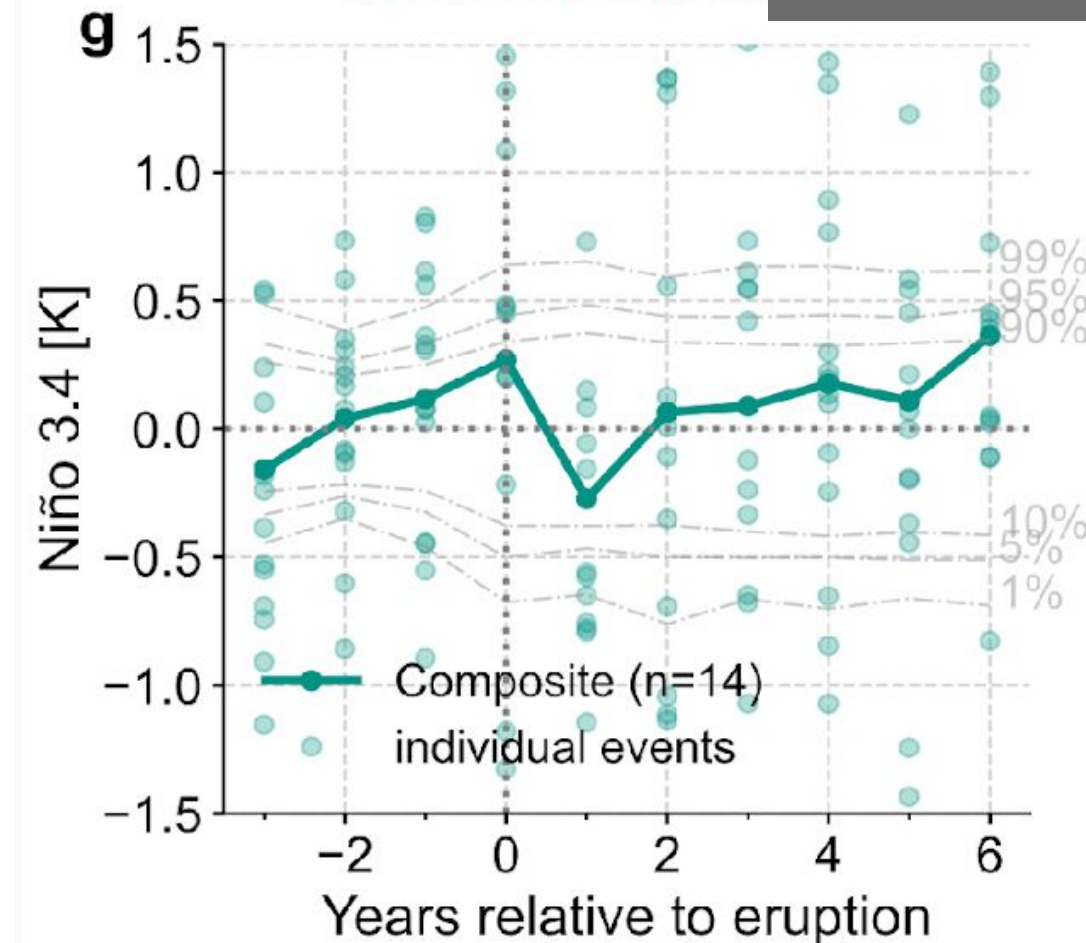


f LMR (Corals+Li13b6), VEI>4 (1300-1850)

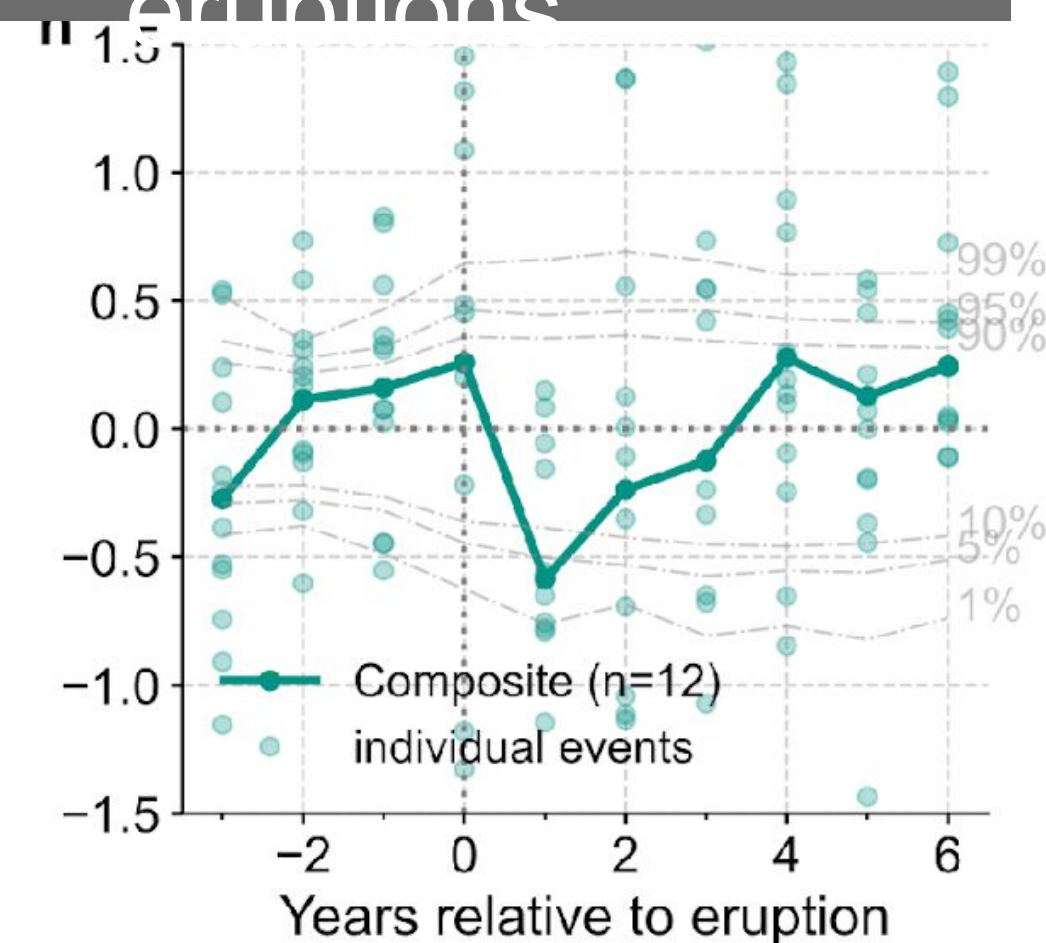


Event selection based on  
VEI: consistent significant  
El Niño response to large  
eruptions

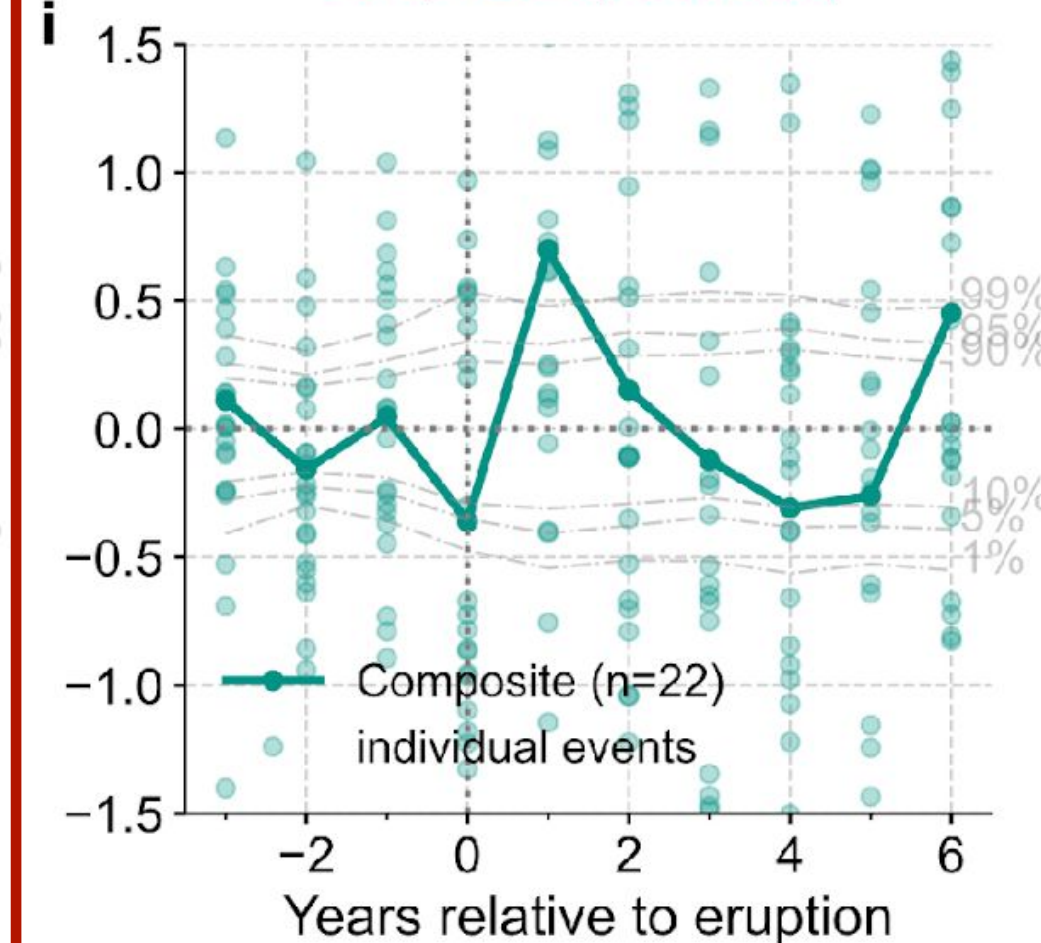
g Li13, VSSI>6 (1300-2000)



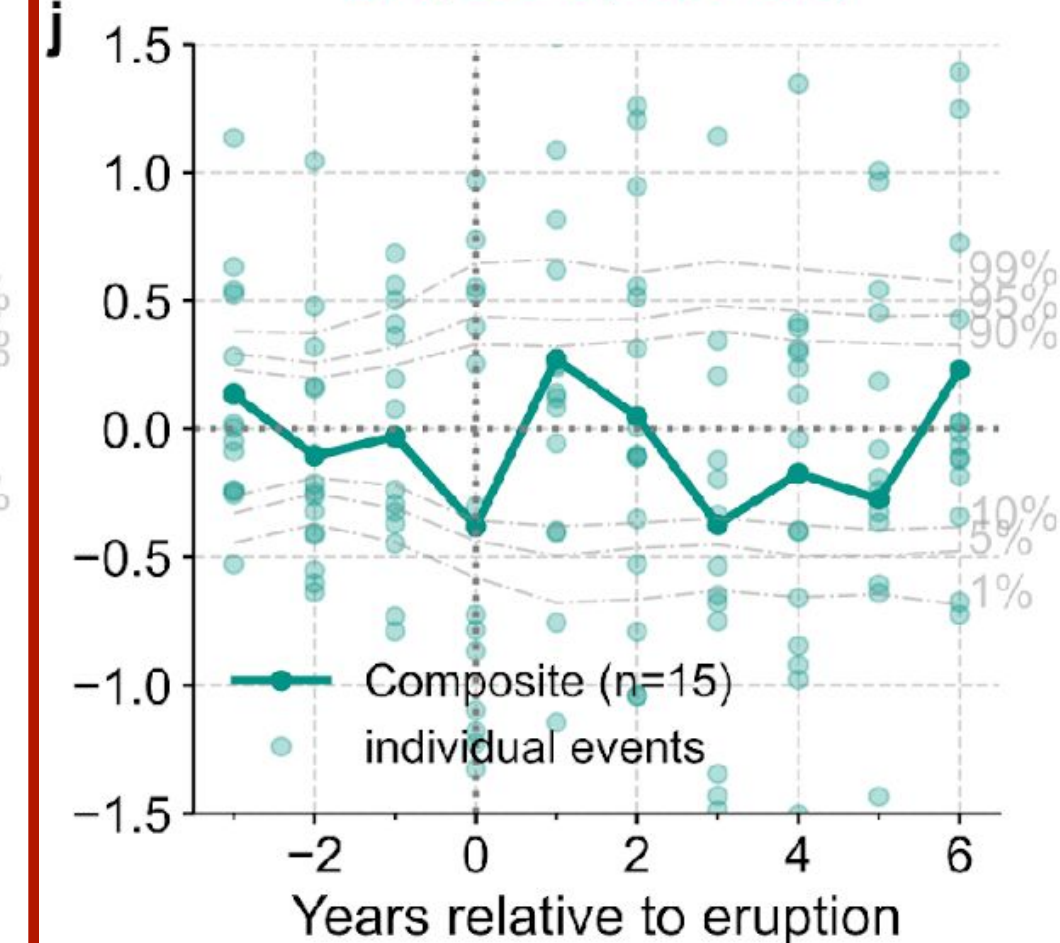
h Li13, VSSI>6 (1300-1850)



i Li13, VEI>4 (1300-2000)

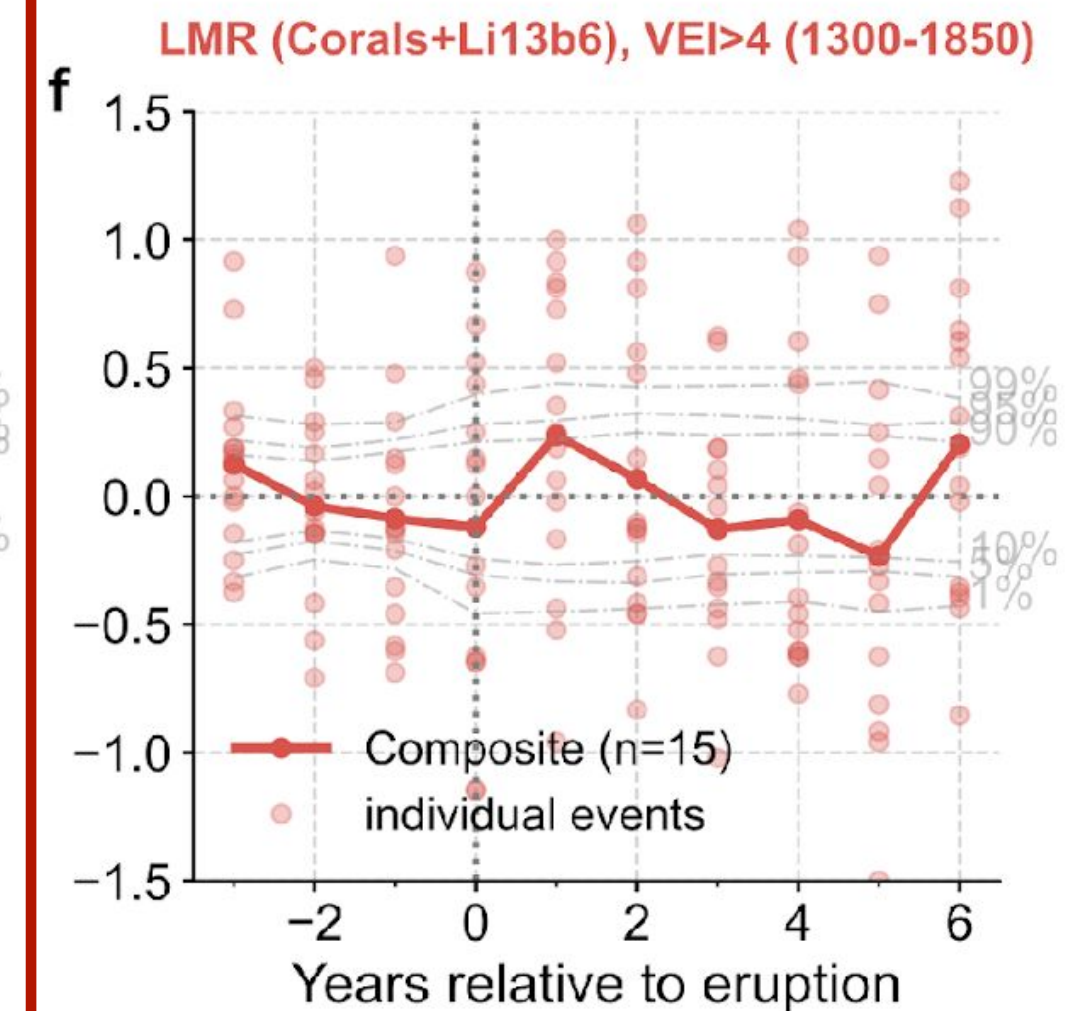
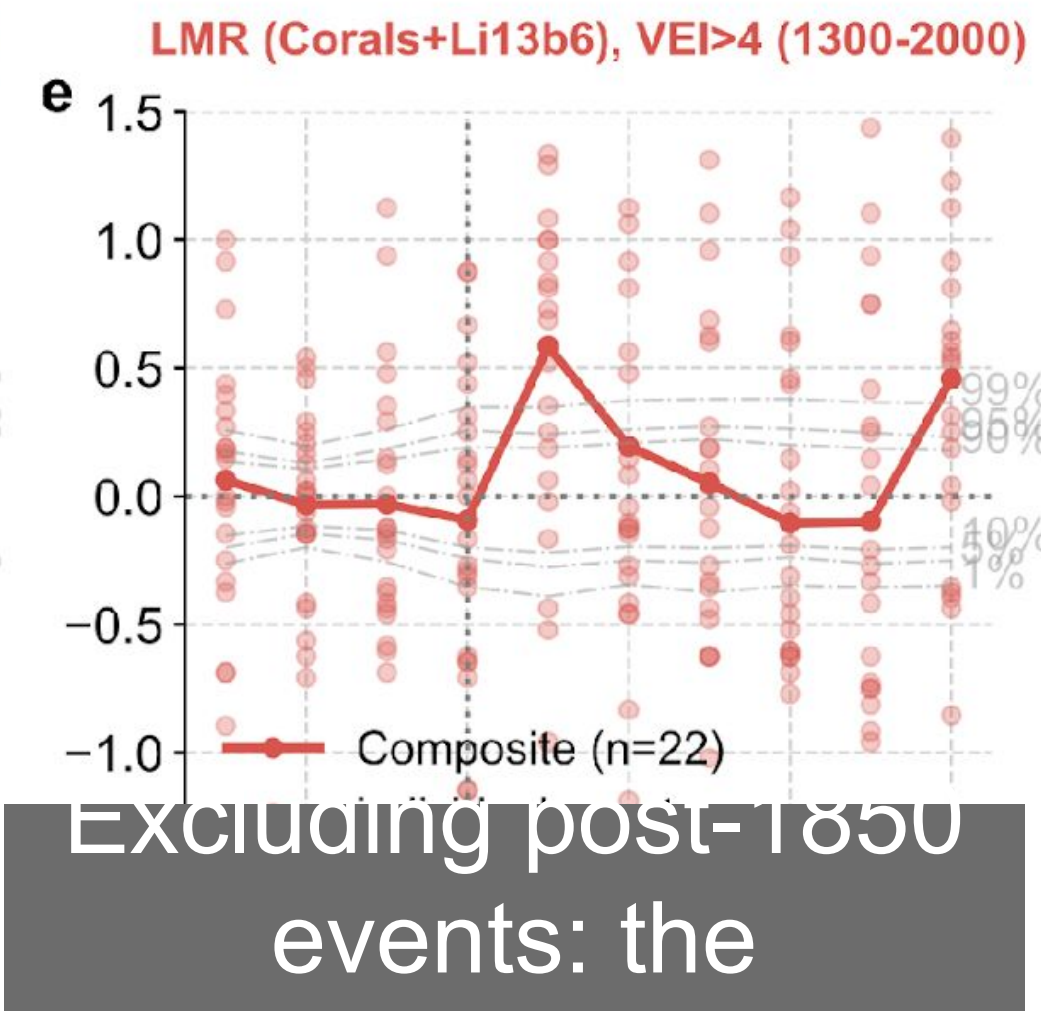
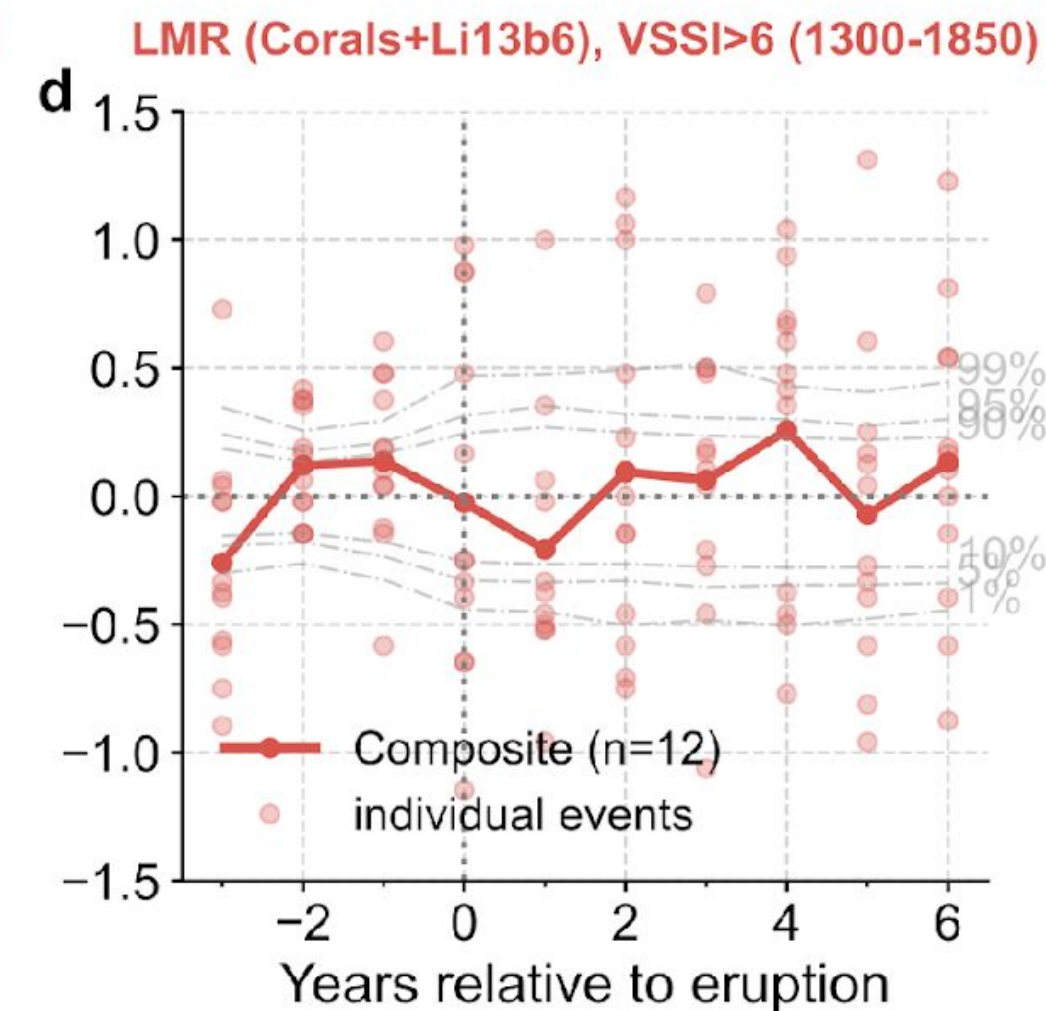
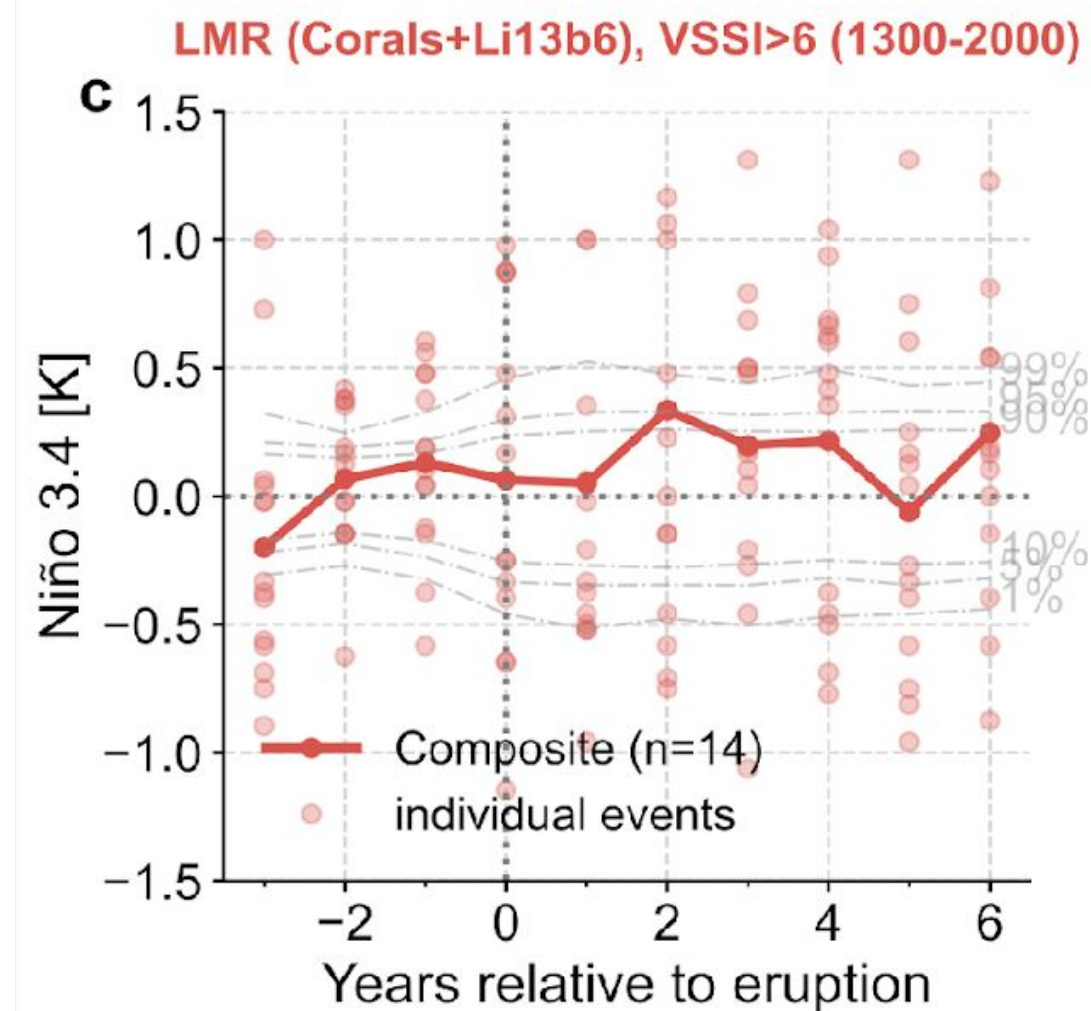


j Li13, VEI>4 (1300-1850)

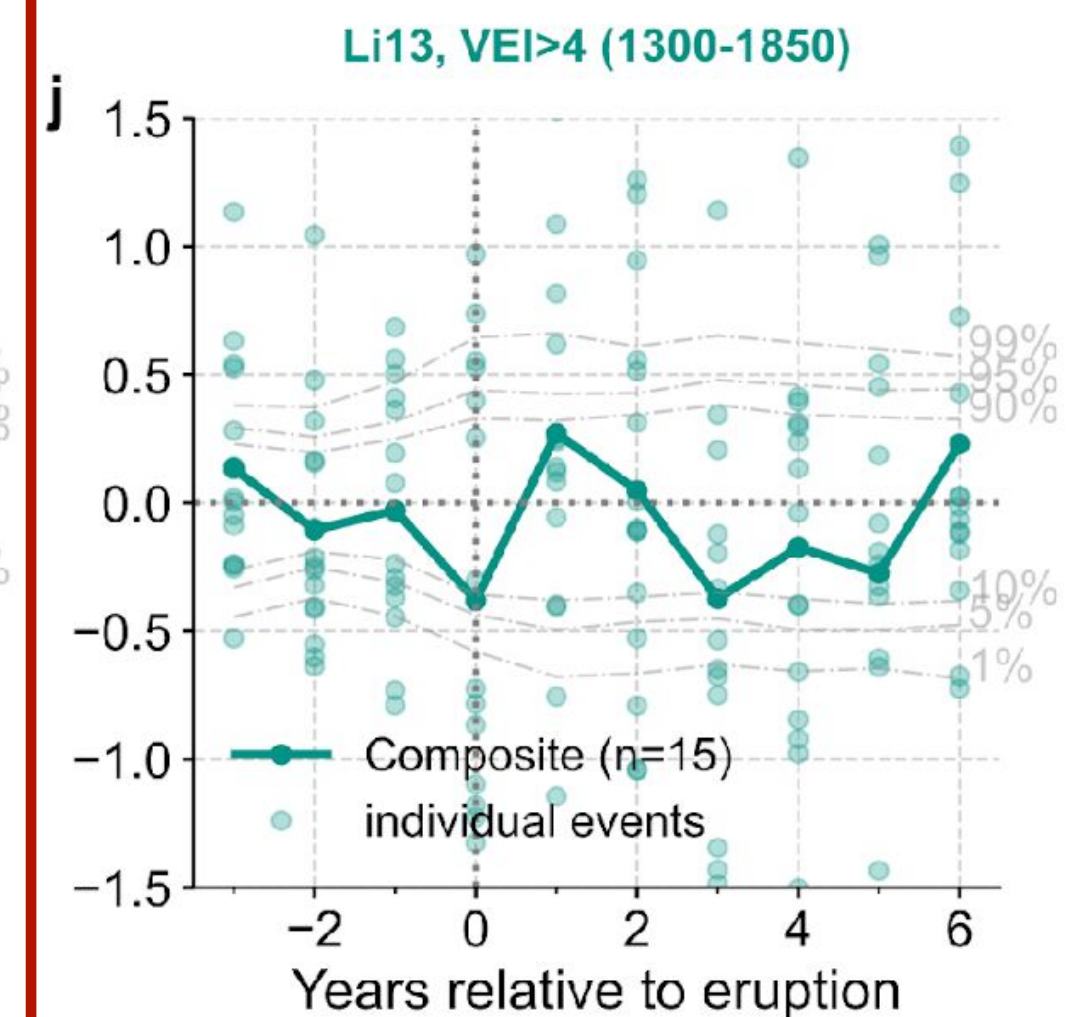
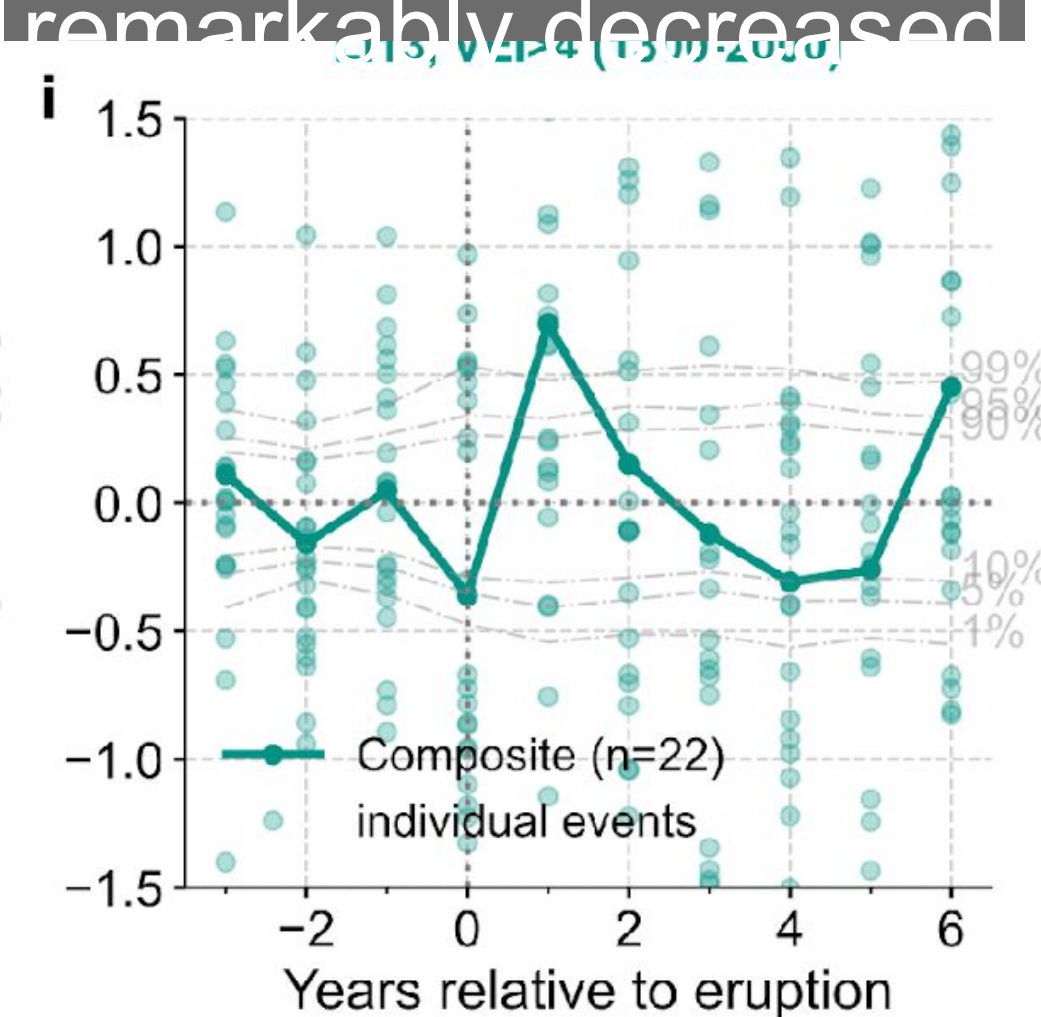
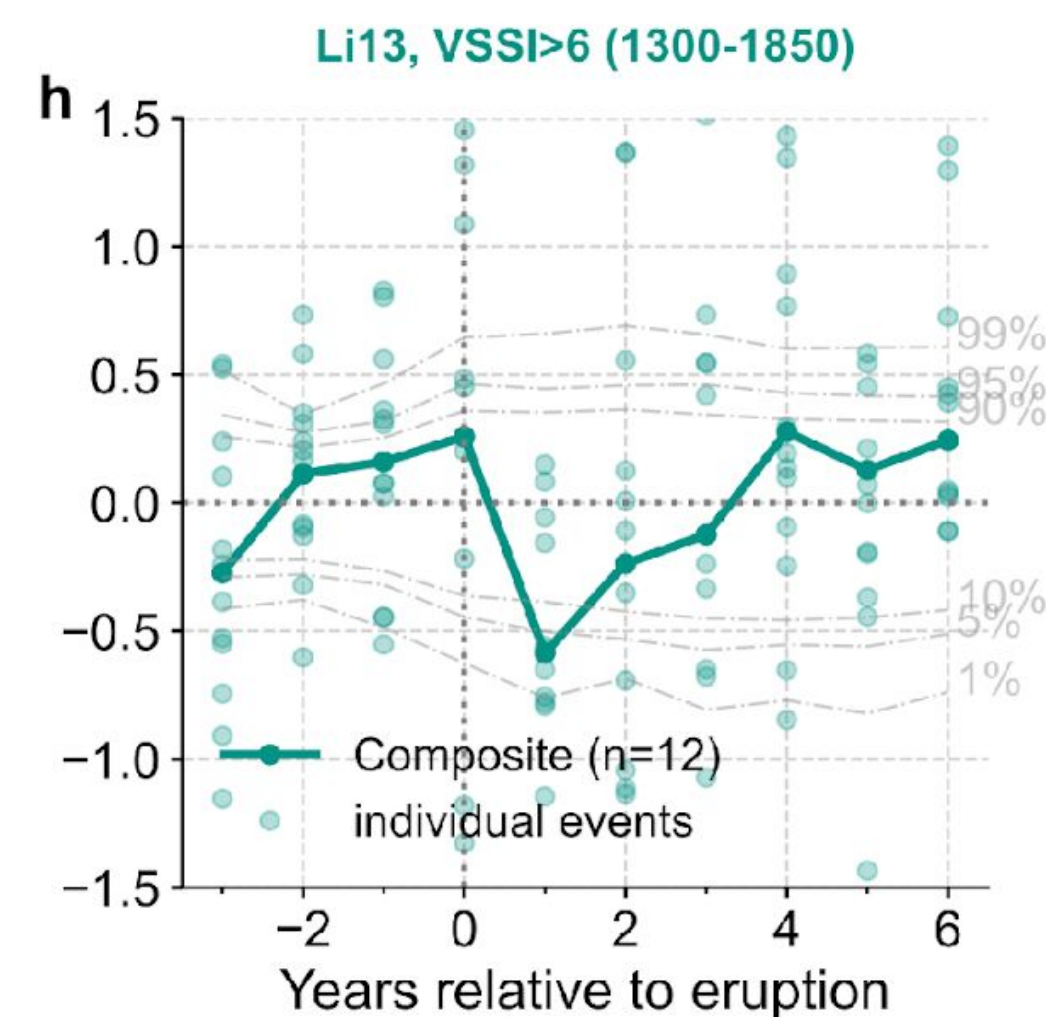
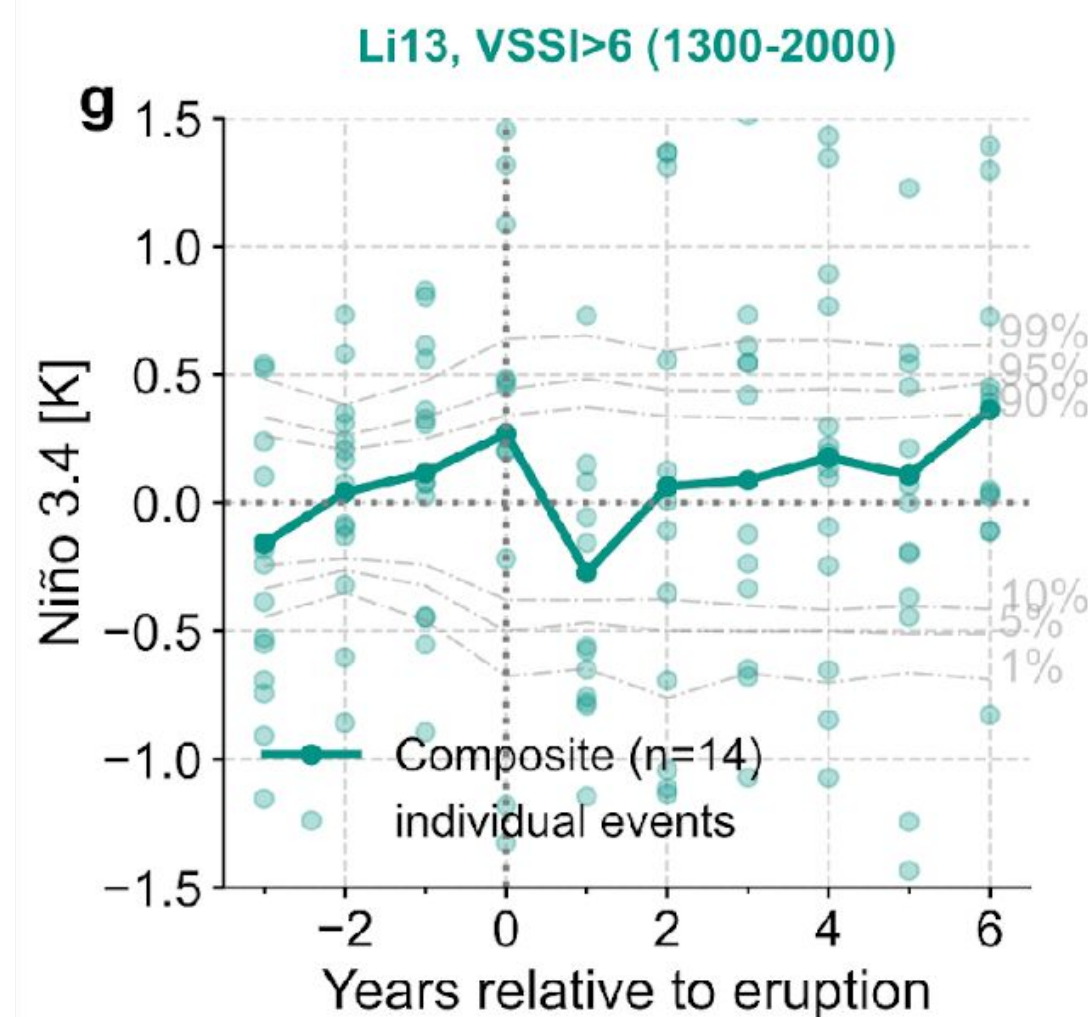




# Superposed Epoch Analysis (SEA)

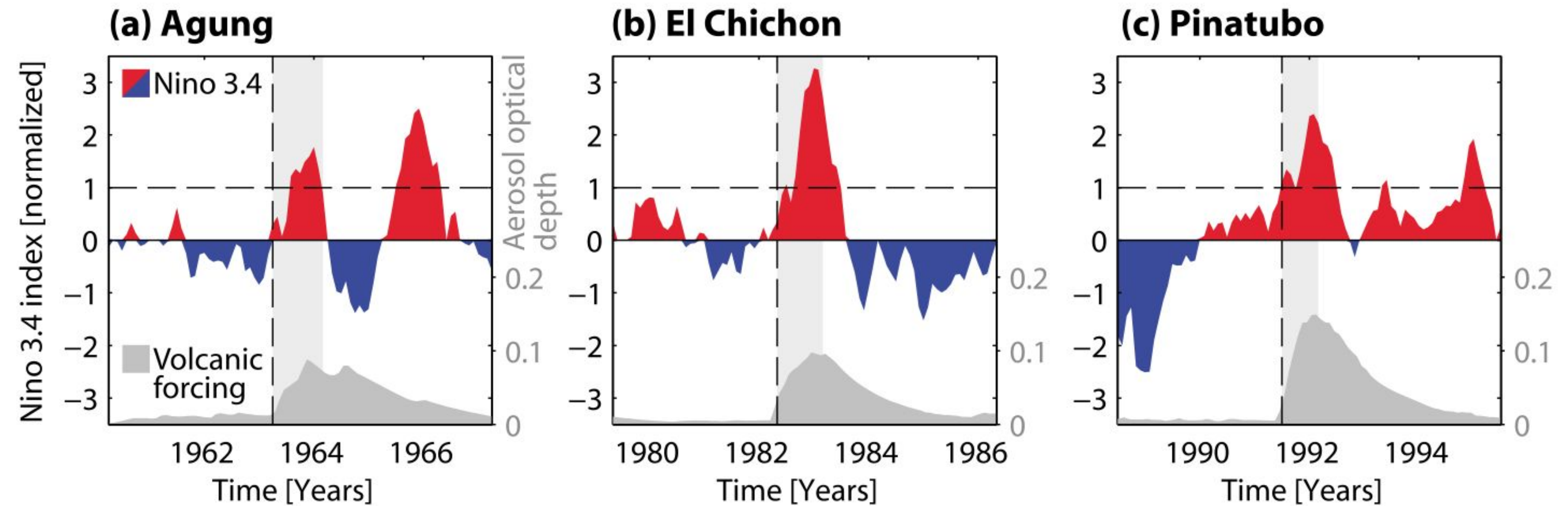


Excluding post-1850 events: the significance is remarkably decreased





# Coincidence ?

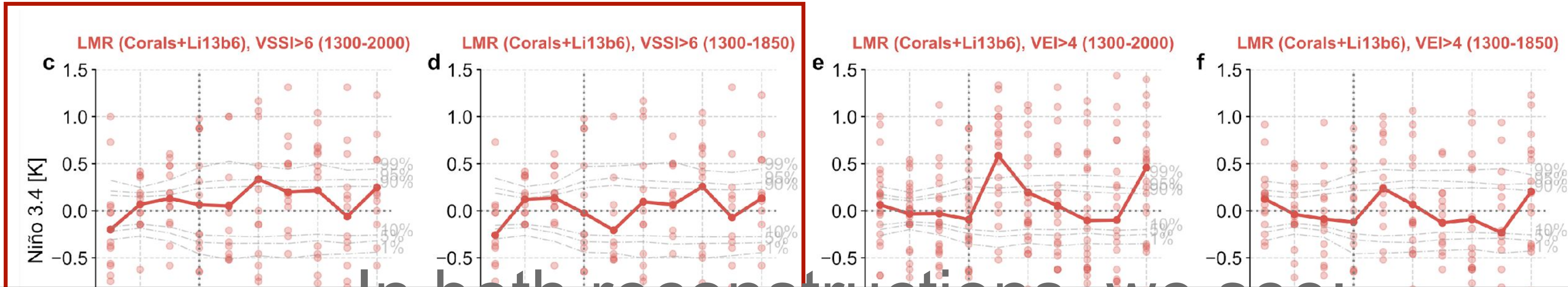


**Figure 1.** Coincidence of volcanic eruptions with El Niño events. Observed Nino3.4 sea surface temperature anomaly index ([http://www.esrl.noaa.gov/psd/gcos\\_wgsp/Timeseries/Data/nino34.long.anom.data](http://www.esrl.noaa.gov/psd/gcos_wgsp/Timeseries/Data/nino34.long.anom.data); units of standard deviations) and aerosol optical depth [*Sato et al.*, 1993] during the eruptions of (a) Agung, (b) El Chichon, and (c) Pinatubo. Light gray shading indicates the interval between the eruption start date and February of the following year. Horizontal dashed line indicates the El Niño selection criterion (1 standard deviation of the Nino3.4 index).

[Lehner et al.  
2016]

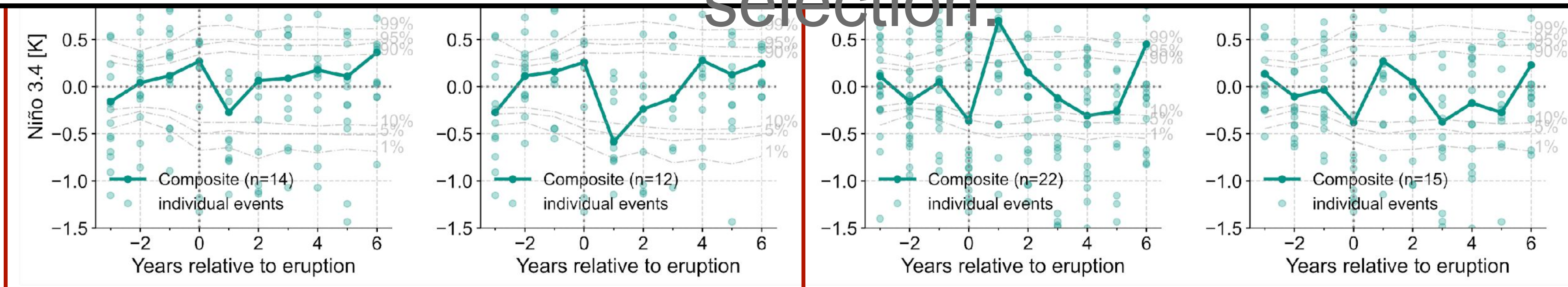


# Superposed Epoch Analysis (SEA)



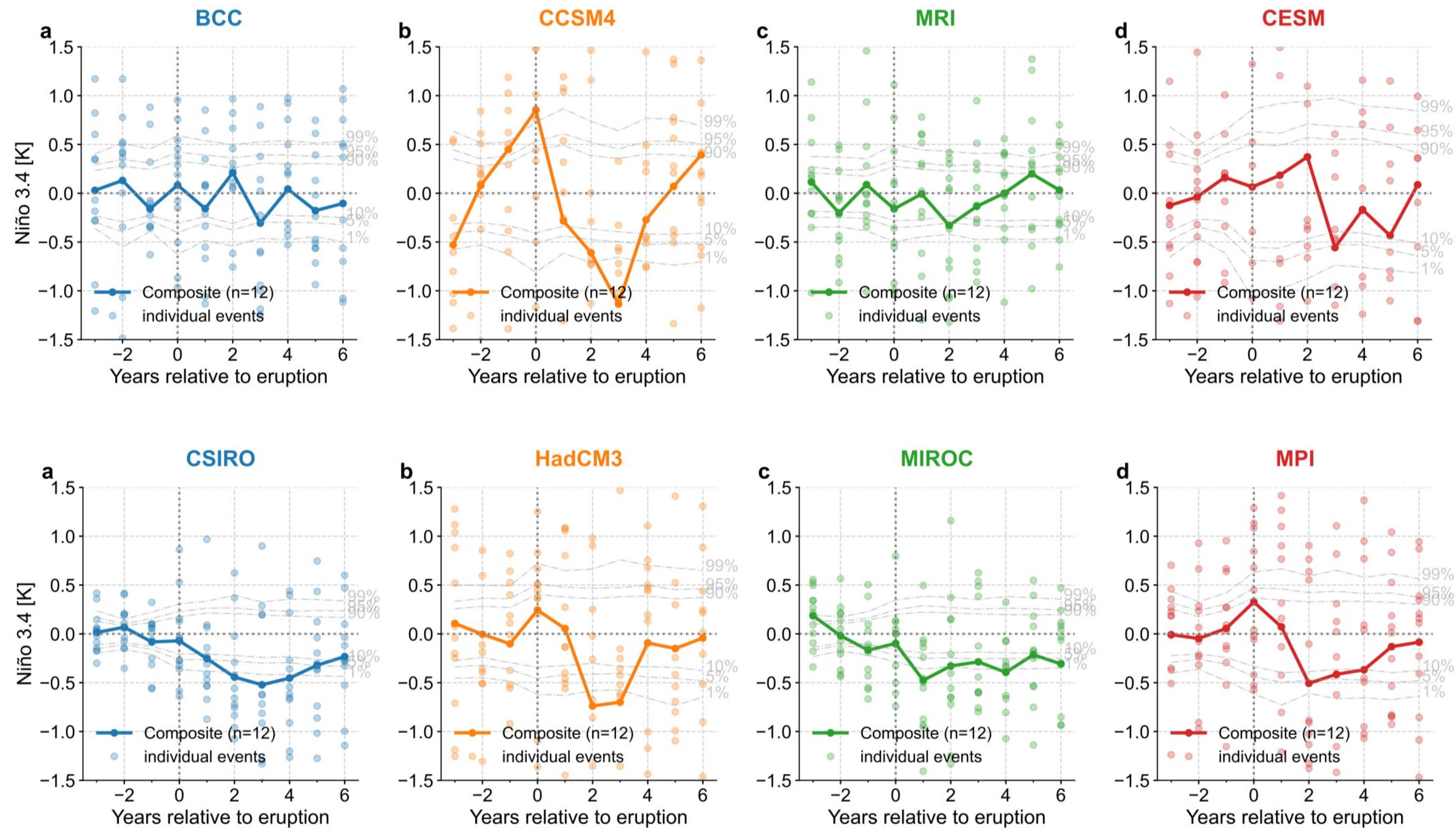
In both reconstructions, we see:

Significant response ~ a less defensible event selection;  
Insignificant response ~ a more defensible event selection



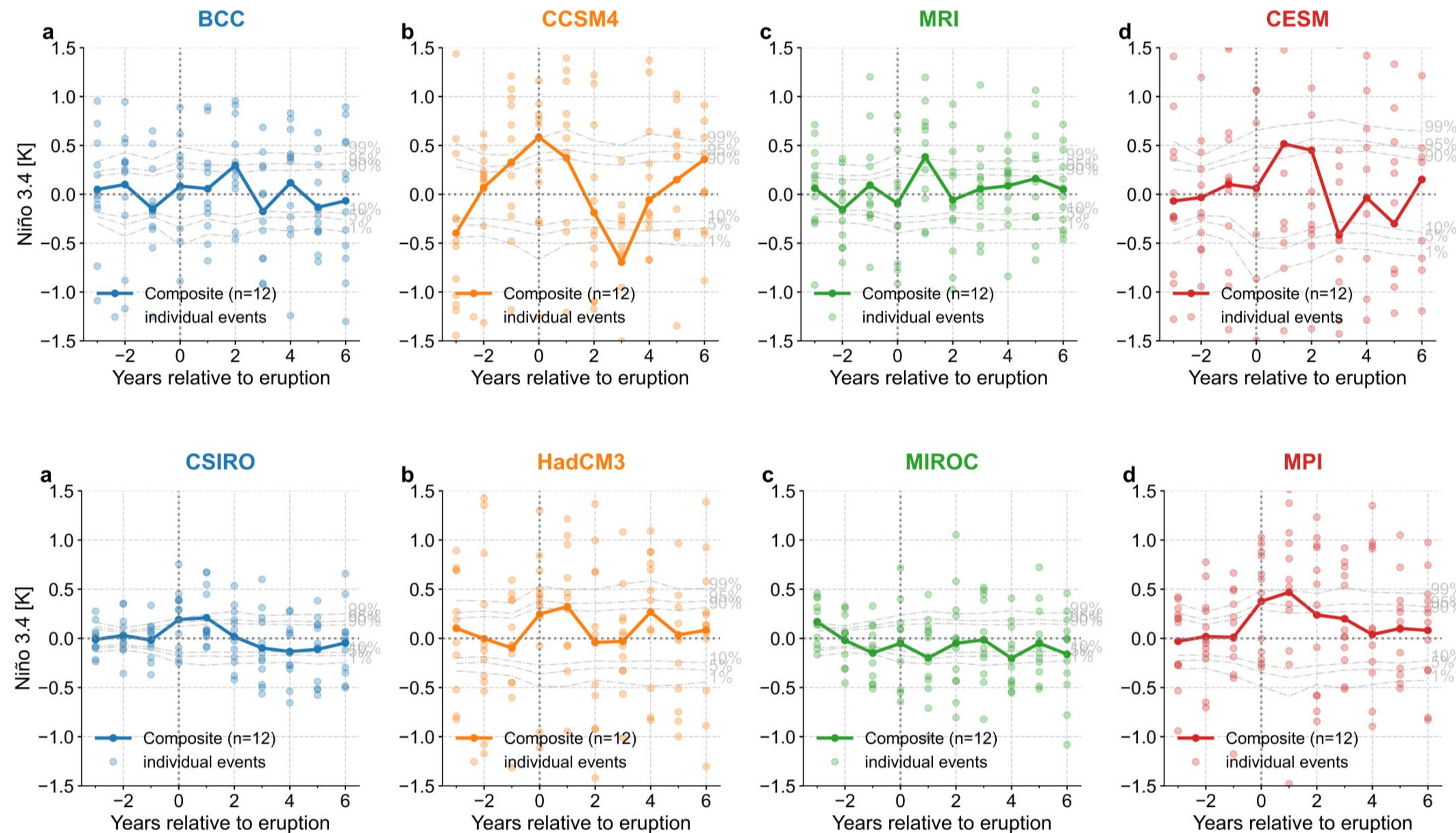


# Climate model simulations – SST





# Climate model simulations – Relative SST (RSST)



RSST highlights the impact of volcanism on ENSO relative to the tropical mean cooling (Khodri, et al., 2017).



# Conclusions & Discussion

- Paleoclimate data assimilation enables the **comparison and optimized fusion** of different **proxy records** within a consistent dynamical framework.
- We see **no real contradiction between trees and corals**, and the **event selection** is more consequential. We **still lack convincing observational evidence** of the significant ENSO response.
- Absence of evidence is not evidence of absence. **Recent modeling studies (e.g., Predybaylo et al., 2017; 2020)** suggest that **multiple factors** can affect the ENSO response to volcanism: location, intensity, season, preconditioning of the ENSO state.
- We need longer proxy records; **last millennium is not enough**: given the large number of **DoF**, a correspondingly **large sample size** is needed to isolate a consistent signal.
- We need more proxy sites to enable **the RSST based analysis** on the reconstructions.



Feng Zhu, Julien Emile-Geay, Kevin J. Anchukaitis, Gregory J. Hakim, Andrew Wittenberg, Mariano Morales, Matthew Toohey, and Johnathan M. King, 2022: A re-appraisal of the ENSO response to volcanism with paleoclimate data assimilation. *Nature Communications*. [doi:10.1038/s41467-022-28210-1](https://doi.org/10.1038/s41467-022-28210-1).

**Thank you!**  
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